Form **990-PF** Department of the Treasury Internal Revenue Service

# EXTENDED TO NOVEMBER 16, 2020 Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

 Do not enter social security numbers on this form as it may be made public.
 Go to www.irs.gov/Form990PF for instructions and the latest information. For calendar year 2019 or tax year beginning , and ending

| OMB No. 1545-0047         |
|---------------------------|
| 0040                      |
|                           |
|                           |
| Open to Public Inspection |

| Name of foundation   |      |   |         |                                    | A Employer identification | number  |   |  |
|--|------|---|---------|------------------------------------|---------------------------|---------|---|--|
| CARNEGIE HERO FUND COMMISSION  |      |   |         |                                    |                           |         |   |  |
| CARNEGIE         HERO         FUND         COMMISSION           Number and street (or P.O. box number if mail is not delivered to street address)         Room/suite |      |   |         |                                    |                           |         | 25-1062730  |  |
|  |      |   |         |                                    |                           | 1101    | B Telephone number<br>412-281-13                        | 0.2  |
| -  |      | own, state or province, country, and ZIP or fore  |         |                                    |                           | 1101    | •   |  |
|  |      | TSBURGH, PA $15219-18$  |         | lai coue                           |                           |         | <b>C</b> If exemption application is pe                 |  |
| G C  | heck | all that apply: Initial return  |         | Initial return of a fo             | ormer public c            | harity  | D 1. Foreign organizations                              | , check here   |
|  |      | Final return  |         | Amended return                     |                           |         | 0   |  |
|  |      | Address change  |         | Name change                        |                           |         | 2. Foreign organizations me<br>check here and attach co | mputation  |
| H C  | -    | type of organization: X Section 501(c)  |         |                                    |                           |         | E If private foundation stat                            | tus was terminated   |
|  |      | ction 4947(a)(1) nonexempt charitable trust   |         |                                    | ition                     |         | under section 507(b)(1)                                 | (A), check here …▶   |
|  |      | arket value of all assets at end of year J Acc  |         |                                    |                           |         | F If the foundation is in a 6                           |  |
|  |      | Part II, col. (c), line 16)   | . Othe  | r (specify) MODIFI                 | ED CAS                    | н       | under section 507(b)(1)                                 | (B), check here …▶∟  |
|  |      | 52,013,094. (Part I, o<br>Analysis of Revenue and Expenses  | column  |                                    |                           |         |   | (4)  |
| Ра   | rt I | (The total of amounts in columns (b), (c), and (d) may in necessarily equal the amounts in column (a).) | not     | (a) Revenue and expenses per books | (b) Net in<br>inco        |         | (c) Adjusted net<br>income                              | ( <b>d</b> ) Disbursements<br>for charitable purposes<br>(cash basis only) |
|  | 1    | Contributions, gifts, grants, etc., received  |         | 7,890.                             |                           |         |   |  |
|  | 2    | Check <b>X</b> if the foundation is not required to attach Sch.   | в       |                                    |                           |         |   |  |
|  | 3    | Interest on savings and temporary cash investments  |         |                                    |                           |         |   |  |
|  | 4    | Dividends and interest from securities  |         | 303,967.                           | 41                        | 1,558.  | 303,967.  | STATEMENT 1  |
|  |      | Gross rents   |         |                                    |                           |         |   |  |
|  |      | Net rental income or (loss)   |         |                                    |                           |         |   |  |
| e  | 6a   | Net gain or (loss) from sale of assets not on line 10<br>Gross sales price for all<br>assets on line 6a |         | 1,371,469.                         |                           |         |   |  |
| enu  | b    | assets on line 6a 2,084,399   | •       |                                    | 1 01                      | 0 0 7 4 |   |  |
| Revenue  | 7    | Capital gain net income (from Part IV, line 2)  |         |                                    | 1,91                      | 2,274.  | 215 000   |  |
| -  | 8    | Net short-term capital gain   |         |                                    |                           |         | 215,980.  |  |
|  | 9    | Income modifications  | ····  _ |                                    |                           |         |   |  |
|  |      | and allowances  | -       |                                    |                           |         |   |  |
|  | D    | Less: Cost of goods sold  |         |                                    |                           |         |   |  |
|  | 11   | Gross profit or (loss)<br>Other income  |         | 369,222.                           | 46                        | 2,429.  | 369 222   | STATEMENT 2  |
|  | 12   | Total. Add lines 1 through 11   | ····  - | 2,052,548.                         | 2.78                      | 6,261.  | 889,169.  |  |
|  | 13   | Compensation of officers, directors, trustees, etc.   |         | 147,260.                           |                           | 4,726.  | 14,726.   | 132,534.   |
|  |      | Other employee salaries and wages   |         | 492,914.                           |                           | 0.      | 0.  | 492,914.   |
|  |      | Pension plans, employee benefits  |         | 148,819.                           |                           | 1,162.  | 1,162.  | 147,657.   |
| ses  | 16a  | Legal fees STMT 3   |         | 4,273.                             |                           | 256.    | 256.  | 4,017.   |
| ç  | b    | Accounting fees STMT 4  |         | 70,125.                            |                           | 6,562.  | 6,562.  | 63,563.  |
| Exp  | C    | Other professional fees <b>STMT</b> 5   |         | 8,678.                             |                           | 0.      | 0.  | 8,678.   |
| ive  | 17   | Interest<br>Taxes STMT 6  |         |                                    |                           | 8,617.  | 28,617.   |  |
| tral   | 18   | Taxes STMT 6  |         | 46,141.                            |                           | 1,535.  | 11,535.   | 45,030.  |
| inis   | 19   | Depreciation and depletion  | L       | 06 641                             |                           | 8,432.  | 8,432.  | 0.5 . 5 . 1 1  |
| dm   |      | Occupancy   |         | 86,641.                            |                           | 0.      | 0.  | 86,641.  |
| qΡ   | 21   | Travel, conferences, and meetings   |         | 25,634.                            |                           | 0.      | 0.  | 25,634.  |
| an   | 22   | Printing and publications<br>Other expenses <b>STMT</b> 7   |         | 22,989.                            | 60                        | 0.      | 0.  | 22,989.  |
| <b>Operating and Administrative Expe</b>   |      |   | ····  - | 139,931.                           | 69                        | 5,366.  | 695,366.  | 139,590.   |
| erat   | 24   | Total operating and administrative expenses. Add lines 13 through 23                                    |         | 1,193,405.                         | 76                        | 6,656.  | 766,656.  | 1,169,247.   |
| ō<br>O   | 25   | Contributions, gifts, grants paid   | ····    | 876,888.                           | 70                        | 5,050.  | 700,030.  | 876,888.   |
|  |      | Total expenses and disbursements.   | … ⊢     |                                    |                           |         |   | 0,0,000.   |
|  | 20   | Add lines 24 and 25   |         | 2,070,293.                         | 76                        | 6,656.  | 766,656.  | 2,046,135.   |
|  | 27   | Subtract line 26 from line 12:  |         | _, _, _, _, _, _, _,               |                           | .,      | ,   | _,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,                                    |
|  |      | Excess of revenue over expenses and disbursements   |         | -17,745.                           |                           |         |   |  |
|  |      | <b>Net investment income</b> (if negative, enter -0-)   |         |                                    | 2,01                      | 9,605.  |   |  |
|  |      | Adjusted net income (if negative, enter -0-)  | ····    |                                    |                           |         | 122,513.  |  |

923501 12-17-19 LHA For Paperwork Reduction Act Notice, see instructions.

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Form **990-PF** (2019)

| Fo            | rm 99 | 0-PF (2019) CARNEGIE HERO FUND COMM   | ISSION            | 25-3        | 1062730 Page 2        |
|---------------|-------|---|-------------------|-------------|-----------------------|
|               | art   | Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. | Beginning of year | End of      | -                     |
|               | art   | column should be for end-of-year amounts only.  | (a) Book Value    |             | (c) Fair Market Value |
|               | 1     | Cash - non-interest-bearing   | 90,776.           | 112,872.    | 112,872.              |
|               | 2     | Savings and temporary cash investments  |                   |             |                       |
|               | 3     | Accounts receivable   |                   |             |                       |
|               |       | Less: allowance for doubtful accounts 🕨   |                   |             |                       |
|               | 4     | Pledges receivable 🕨  |                   |             |                       |
|               |       | Less: allowance for doubtful accounts 🕨   |                   |             |                       |
|               | 5     | Grants receivable   |                   |             |                       |
|               | 6     | Receivables due from officers, directors, trustees, and other   |                   |             |                       |
|               |       | disqualified persons  |                   |             |                       |
|               | 7     | Other notes and loans receivable  |                   |             |                       |
|               |       | Less: allowance for doubtful accounts 🕨   |                   |             |                       |
| ţ             |       | Inventories for sale or use   |                   |             |                       |
| Assets        |       | Prepaid expenses and deferred charges   |                   |             |                       |
| ◄             |       | Investments - U.S. and state government obligations   |                   |             |                       |
|               |       | Investments - corporate stock STMT 8  | 4,880,405.        | 5,552,074.  | 5,552,074.            |
|               |       | Investments - corporate bonds   |                   |             |                       |
|               | 11    | Investments - land, buildings, and equipment: basis 🕨   |                   |             |                       |
|               |       | Less: accumulated depreciation  |                   |             |                       |
|               | 12    | Investments - mortgage loans  |                   |             |                       |
|               | 13    | Investments - other STMT 9  | 40,454,849.       | 46,348,148. | 46,348,148.           |
|               | 14    | Land, buildings, and equipment: basis   |                   |             |                       |
|               |       | Less: accumulated depreciation  |                   |             |                       |
|               |       | Other assets (describe )  |                   |             |                       |
|               | 16    | Total assets (to be completed by all filers - see the   | 45 406 000        |             |                       |
|               |       | instructions. Also, see page 1, item I)   | 45,426,030.       | 52,013,094. | 52,013,094.           |
|               |       | Accounts payable and accrued expenses   |                   |             |                       |
|               |       | Grants payable  |                   |             |                       |
| ies           |       | Deferred revenue  |                   |             |                       |
| oilit         |       | Loans from officers, directors, trustees, and other disqualified persons  |                   |             |                       |
| Liabilities   |       | Mortgages and other notes payable   |                   |             |                       |
| _             | 22    | Other liabilities (describe )   |                   |             |                       |
|               |       | Tatal liabilities (add lines 17 through 00)   | 0.                | Ο.          |                       |
|               | 23    | Total liabilities (add lines 17 through 22)         Foundations that follow FASB ASC 958, check here         X  | 0.                | • •         |                       |
| 6             |       | and complete lines 24, 25, 29, and 30.  |                   |             |                       |
| čě            | 24    | Net assets without donor restrictions   | 45,293,750.       | 52,013,094. |                       |
| alan          |       | Net assets with donor restrictions  | 132,280.          | 0.          |                       |
| ä             | 20    | Foundations that do not follow FASB ASC 958, check here   |                   |             |                       |
| Fund Balances |       | and complete lines 26 through 30.   |                   |             |                       |
| Р<br>Т        | 26    | Capital stock, trust principal, or current funds  |                   |             |                       |
|               | 27    | Paid-in or capital surplus, or land, bldg., and equipment fund  |                   |             |                       |
| sse           | 28    | Retained earnings, accumulated income, endowment, or other funds  |                   |             |                       |
| Net Assets    | 29    | Total net assets or fund balances   | 45,426,030.       | 52,013,094. |                       |
| ž             |       |   |                   |             |                       |
|               | 30    | Total liabilities and net assets/fund balances  | 45,426,030.       | 52,013,094. |                       |
| Ρ             | art   | III Analysis of Changes in Net Assets or Fund B   | alances           |             |                       |
| 1             | Total | net assets or fund balances at beginning of year - Part II, column (a), line                                    | 29                |             |                       |
| •             |       | a gree with end-of-year figure reported on prior year's return)   |                   | 1           | 45,426,030.           |
| 2             | _     |   |                   |             | -17,745.              |
| 3             | Othe  | amount from Part I, line 27a r increases not included in line 2 (itemize) <b>UNREALIZED</b>                     | GAIN ON INVEST    | TMENTS 3    | 6,604,809.            |
|               |       | ines 1, 2, and 3  |                   |             | 52,013,094.           |
|               |       | eases not included in line 2 (itemize) 🕨  |                   | 5           | 0.                    |

6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29 ......

52,013,094. Form **990-PF** (2019)

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| Form <b>8</b> | 3868 |
|---------------|------|
|---------------|------|

(Rev. January 2020)

# Application for Automatic Extension of Time To File an Exempt Organization Return

Department of the Treasury Internal Revenue Service File a separate application for each return.

► Go to www.irs.gov/Form8868 for the latest information.

**Electronic filing (e-file).** You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits*.

# Automatic 6-Month Extension of Time. Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

| Type or  | Name of exempt organization or other filer, see instru   | ictions.                                      |  | Taxpaye                  | ridentificatio                                | on number (TIN)   |
|--|--|---|--|--------------------------|---|-------------------|
| print  | CARNEGIE HERO FUND COMMISS   | TON   |  | 25-1062730               |   |                   |
| File by the<br>due date f<br>filing your   | Number, street, and room or suite no. If a P.O. box, s   | ee instruc                                    |  |                          |   |                   |
| return. See<br>instructior   |  |   |  |                          |   |                   |
| Enter th   | e Return Code for the return that this application is for (fil   | e a separa                                    | te application for each return)  |                          |   | 0 4               |
| Applica  | tion   | Return  | Application  |                          |   | Return            |
| ls For   |  | Code  | Is For   |                          |   | Code              |
| Form 99  | 90 or Form 990-EZ  | 01  | Form 990-T (corporation)   |                          |   | 07                |
| Form 99  | 90-BL  | 02  | Form 1041-A  |                          |   | 08                |
| Form 47  | 720 (individual)   | 03  | Form 4720 (other than individual)  |                          |   | 09                |
| Form 99  | 90-PF  | 04  | Form 5227  |                          |   | 10                |
| Form 99  | 90-T (sec. 401(a) or 408(a) trust)   | 05  | Form 6069  |                          |   | 11                |
| Form 99  | 90-T (trust other than above)  | 06  | Form 8870<br>SEVENTH AVENUE ,  |                          |   | 12                |
| <ul> <li>If the</li> <li>If this</li> <li>box </li> <li>1</li> <li>the</li> <li>the</li></ul> | behone No. ► (412)281-1302<br>e organization does not have an office or place of busines<br>is for a Group Return, enter the organization's four digit<br>. If it is for part of the group, check this box ►<br>request an automatic 6-month extension of time until<br>the organization named above. The extension is for the org<br>. X calendar year 2019<br>tax year beginning<br>the tax year entered in line 1 is for less than 12 months, or<br>Change in accounting period | Group Exe<br>and atta<br>NOVEI<br>anization's | emption Number (GEN) I<br>ch a list with the names and TINs of<br>MBER 16, 2020 , to file<br>s return for:<br>d ending | f this is fo<br>all memb | r the whole<br>ers the extent<br>opt organiza | group, check this |
|  | this application is for Forms 990-BL, 990-PF, 990-T, 4720  | , or 6069,                                    | enter the tentative tax, less  | 0-                       | <u>,</u>                                      | 0.                |
|  | ny nonrefundable credits. See instructions.  | ) optor op                                    | v refundable gradite and   | 3a                       | \$  | 0.                |
|  | this application is for Forms 990-PF, 990-T, 4720, or 6069   |   |  | 3b                       | \$  | 0.                |
| _  | stimated tax payments made. Include any prior year over<br>alance due. Subtract line 3b from line 3a. Include your pa  |   |  | 30                       | Ψ   | 0.                |
|  | sing EFTPS (Electronic Federal Tax Payment System). Se   | •   |  | 3c                       | \$  | 0.                |
|  | If you are going to make an electronic funds withdrawal  | (direct de                                    | bit) with this Form 8868, see Form 8   |                          | nd Form 887                                   |                   |

|  |            | E HERO FUND  |                                    |                                      |              |                                 |           | 2               | 5-106                        | 2730             | Page <b>3</b>      |
|--|------------|--|------------------------------------|--------------------------------------|--------------|---------------------------------|-----------|-----------------|------------------------------|------------------|--------------------|
| •  |            | osses for Tax on In<br>s) of property sold (for exar |                                    |                                      | (b)          | How acd                         | uired     | (c) Date a      | oquirod                      | ( <b>d</b> ) Da  | te sold            |
| (a) List and describe t<br>2-story brick war                                     | rehouse;   | or common stock, 200 shs                             | MLC Co.)                           | 110,                                 | Г<br>Р<br>D  | How acq<br>- Purcha<br>- Donati | ase<br>on | (mo., da        |                              |                  | day, yr.)          |
| 1a   |            |  |                                    |                                      |              |                                 |           |                 |                              |                  |                    |
| b SEE ATTACHED   | STA        | TEMENT   |                                    |                                      |              |                                 |           |                 |                              |                  |                    |
| <u> </u>   |            |  |                                    |                                      |              |                                 |           |                 |                              |                  |                    |
| <u>d</u>   |            |  |                                    |                                      |              |                                 |           |                 |                              |                  |                    |
| e (c) Orașe estas arias  | (f)        | Depreciation allowed                                 | ( <b>a</b> ) Co                    | st or other basis                    | L            |                                 |           | ( <b>h</b> ) Ga | ain or (loss)                | )                |                    |
| (e) Gross sales price  | (.)        | (or allowable)                                       |                                    | expense of sale                      |              |                                 |           |                 | (f) minus (                  |                  |                    |
| a  |            |  |                                    |                                      |              |                                 |           |                 |                              |                  |                    |
| b  |            |  |                                    |                                      |              |                                 |           |                 |                              |                  |                    |
| C  |            |  |                                    |                                      |              |                                 |           |                 |                              |                  |                    |
| d<br>e 2,084,399.  |            |  |                                    | 2,083,22                             | 3            |                                 |           |                 |                              | 1 912            | ,274.              |
| Complete only for assets showing   | g gain in  | column (h) and owned by t                            | L<br>the foundation                |                                      |              |                                 | (         | I) Gains (Co    | ol. (h) gain                 |                  | ,                  |
| (i) FMV as of 12/31/69   |            | (j) Adjusted basis<br>as of 12/31/69                 | <b>(k)</b> E:                      | cess of col. (i)<br>col. (j), if any |              |                                 |           | . (k), but n    | ot less thar<br>(from col. ( | 1 -0-) <b>or</b> |                    |
| a  |            |  |                                    |                                      |              |                                 |           |                 |                              |                  |                    |
| b  |            |  |                                    |                                      |              |                                 |           |                 |                              |                  |                    |
| C  |            |  |                                    |                                      |              |                                 |           |                 |                              |                  |                    |
| d  |            |  |                                    |                                      |              |                                 |           |                 |                              | 1 010            | ,274.              |
| e  |            | Cifereire else enter                                 | in David Lilling                   |                                      | -            |                                 |           |                 |                              | 1,912            | , 4 / 4 •          |
| 2 Capital gain net income or (net cap  | oital loss | )  | in Part I, line<br>in Part I, line | 7<br>27                              |              | 2                               |           |                 |                              | 1,912            | ,274.              |
| 3 Net short-term capital gain or (loss   |            | <b>`</b>   |                                    |                                      | · /          |                                 |           |                 |                              |                  |                    |
| If gain, also enter in Part I, line 8, o   | ,          | ( )  | u (0).                             |                                      | ]            |                                 |           |                 |                              |                  |                    |
| If (loss), enter -0- in Part I, line 8 .<br>Part V Qualification U               |            |  |                                    |                                      | <u></u>      | 3                               | ont Ind   |                 |                              | 215              | ,980.              |
|  |            | ( )  |                                    |                                      |              |                                 |           | Joine           |                              | N/A              |                    |
| (For optional use by domestic private  | loulluali  |  | 1940(d) lax 01                     | i net investment in                  | COTTR        | ;.)                             |           |                 |                              | IN / 11          |                    |
| If section 4940(d)(2) applies, leave th  | is part b  | ank.   |                                    |                                      |              |                                 |           |                 |                              |                  |                    |
| Was the foundation liable for the section  | ion 4942   | tax on the distributable am                          | ount of any y                      | ear in the base per                  | iod?         |                                 |           |                 |                              | Yes              | No No              |
| If "Yes," the foundation doesn't qualify   |            | . ,  |                                    |                                      |              |                                 |           |                 |                              |                  |                    |
| 1 Enter the appropriate amount in e (a)  | acn colu   |  | istructions de                     | etore making any e<br>I              |              |                                 |           |                 |                              | (d)              |                    |
| Base periód years<br>Calendar year (or tax year beginnin                         | g in)      | (b)<br>Adjusted qualifying dist                      | tributions                         | Net value of no                      | (c)<br>nchai | ritable-us                      | se assets | (               | Distrib<br>col. (b) div      | utión ratio      | .(C))              |
| 2018   |            |  |                                    |                                      |              |                                 |           |                 |                              |                  |                    |
| 2017 2016  |            |  |                                    |                                      |              |                                 |           |                 |                              |                  |                    |
| 2015   |            |  |                                    |                                      |              |                                 |           |                 |                              |                  |                    |
| 2014   |            |  |                                    |                                      |              |                                 |           |                 |                              |                  |                    |
|  |            |  |                                    |                                      |              |                                 |           |                 |                              |                  |                    |
|  |            |  |                                    |                                      |              |                                 |           | . 2             |                              |                  |                    |
| <b>3</b> Average distribution ratio for the 5 the foundation has been in existen |            | •  |                                    |                                      |              |                                 |           | 3               |                              |                  |                    |
| the foundation has been in existen   |            |  |                                    |                                      |              |                                 |           | . 3             |                              |                  |                    |
| 4 Enter the net value of noncharitabl  | e-use as   | sets for 2019 from Part X, I                         | line 5                             |                                      |              |                                 |           | . 4             |                              |                  |                    |
| 5 Multiply line 4 by line 3  |            |  |                                    |                                      |              |                                 |           | . 5             |                              |                  |                    |
| 6 Enter 1% of net investment incom   | e (1% of   | Part I, line 27b)                                    |                                    |                                      |              |                                 |           | . 6             |                              |                  |                    |
| 7 Add lines 5 and 6  |            |  |                                    |                                      |              |                                 |           | . 7             |                              |                  |                    |
| 8 Enter qualifying distributions from  | Part XII   | , line 4   |                                    |                                      |              |                                 |           | . 8             |                              |                  |                    |
| If line 8 is equal to or greater than See the Part VI instructions.              | line 7, cl | neck the box in Part VI, line                        | 1b, and com                        | plete that part usin                 | g a 1º       | % tax rat                       | te.       |                 |                              |                  |                    |
| 923521 12-17-19  |            |  |                                    | 2                                    |              |                                 |           |                 | F                            | orm <b>990</b>   | - <b>PF</b> (2019) |

| Form 990-PF (2019) CARNEGIE HERO FUND COMMISSION  |                                      |            | 25-   | 106273        | 0      | Page 4 |
|---|--------------------------------------|------------|-------|---------------|--------|--------|
| Part VI Excise Tax Based on Investment Income (Section 494  | l0(a), 4940(b), 4940(e)              | , or 4     | 948 · | - see inst    | ructic | ons)   |
| 1a Exempt operating foundations described in section 4940(d)(2), check here <b>X</b> and e                    | enter "N/A" on line 1.               |            |       |               |        |        |
| Date of ruling or determination letter: $10/24/85$ (attach copy of letter if ne                               | cessary-see instructions)            |            |       |               |        |        |
| <b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here                | and enter 1%                         | $\rangle$  | 1     | N             | /A     |        |
| of Part I, line 27b   |                                      | Γ          |       |               |        |        |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 44                 | ر of Part I, line 12, col. (b)       |            |       |               |        |        |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; or                  | thers, enter -0-)                    |            | 2     |               |        |        |
| 3 Add lines 1 and 2   |                                      | [          | 3     |               |        |        |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; o                 | others, enter -0-)                   | [          | 4     |               |        |        |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                     |                                      |            | 5     |               |        | 0.     |
| 6 Credits/Payments:   |                                      | Ī          |       |               |        |        |
| a 2019 estimated tax payments and 2018 overpayment credited to 2019   | 6a                                   | 0.         |       |               |        |        |
| <b>b</b> Exempt foreign organizations - tax withheld at source  | 6b                                   | 0.         |       |               |        |        |
| c Tax paid with application for extension of time to file (Form 8868)   |                                      | 0.         |       |               |        |        |
| d Backup withholding erroneously withheld   | 6d                                   | 0.         |       |               |        |        |
| 7 Total credits and payments. Add lines 6a through 6d   |                                      |            | 7     |               |        | 0.     |
| 8 Enter any penalty for underpayment of estimated tax. Check here 🛄 if Form 2220 is atta                      | ached                                |            | 8     |               |        | 0.     |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                               |                                      | . 🕨 🛛      | 9     |               |        | 0.     |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .                |                                      |            | 10    |               |        |        |
| 11 Enter the amount of line 10 to be: Credited to 2020 estimated tax  | Refund                               | ed 🕨 🗍     | 11    |               |        |        |
| Part VII-A Statements Regarding Activities  |                                      |            |       |               |        |        |
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legis           | slation or did it participate or in  | tervene    | in    |               | Yes    | s No   |
| any political campaign?   |                                      |            |       |               |        | X      |
| <b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purpo     | oses? See the instructions for the   | ne defini  | tion  | 16            |        | X      |
| If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies                | of any materials published or        |            |       |               |        |        |
| distributed by the foundation in connection with the activities.  |                                      |            |       |               |        |        |
| c Did the foundation file Form 1120-POL for this year?  |                                      |            |       | 10            |        | Х      |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the                |                                      |            |       |               |        |        |
| (1) On the foundation. $\blacktriangleright$ \$ 0. (2) On foundation managers                                 | s.▶ \$                               | Ο.         |       |               |        |        |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expend                |                                      | n          |       |               |        |        |
| managers. $\triangleright$ \$ 0.  |                                      |            |       |               |        |        |
| 2 Has the foundation engaged in any activities that have not previously been reported to the I                | RS?                                  |            |       | 2             |        | X      |
| If "Yes," attach a detailed description of the activities.  |                                      |            |       |               |        |        |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing                   | instrument, articles of incorpo      | ration, or | r     |               |        |        |
| bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                        |                                      |            |       |               |        | X      |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year                 | ar?                                  |            |       | 4a            |        |        |
| <b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?                              |                                      |            |       | 46            | Х      |        |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?              | ?                                    |            |       |               |        | X      |
| If "Yes," attach the statement required by General Instruction T.   |                                      |            |       |               |        |        |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied e                 | either:                              |            |       |               |        |        |
| <ul> <li>By language in the governing instrument, or</li> </ul>   |                                      |            |       |               |        |        |
| <ul> <li>By state legislation that effectively amends the governing instrument so that no mandator</li> </ul> | ry directions that conflict with t   | he state   | law   |               |        |        |
| remain in the governing instrument?   |                                      |            |       | 6             | X      |        |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," comp              | plete Part II, col. (c), and Part X  | V          |       | 7             | X      |        |
|   |                                      |            |       |               |        |        |
| 8a Enter the states to which the foundation reports or with which it is registered. See instruction           | ons. 🕨                               |            |       |               |        |        |
| РА  |                                      |            |       |               |        |        |
| <b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the A        | - , , ,                              |            |       |               |        |        |
| of each state as required by General Instruction G? If "No," attach explanation                               |                                      |            |       | 8b            | X      |        |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of se                |                                      |            |       |               |        |        |
| year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," con                 |                                      |            |       |               | X      |        |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedu             | ule listing their names and addresse | s          |       |               |        | X      |
|   |                                      |            |       | Form <b>9</b> | 90-PF  | (2019) |

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 Form 990-PF (2019)
 CARNEGIE
 HERO
 FUND
 COMMISSION

 Part VII-A
 Statements Regarding Activities (continued)

|    |   |                  | Yes        | No      |
|----|---|------------------|------------|---------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of  |                  |            |         |
|    | section 512(b)(13)? If "Yes," attach schedule. See instructions   | 11               |            | Х       |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?  |                  |            |         |
|    | If "Yes," attach statement. See instructions  | 12               |            | Х       |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?   | 13               | Х          |         |
|    | Website address WWW.CARNEGIEHERO.ORG  | 01               | 1 2 0      |         |
| 14 | The books are in care of <b>ERIC P. ZAHREN</b><br>Telephone no. (412)2  | $\frac{81}{210}$ | 130        | <u></u> |
|    | Located at > 436 SEVENTH AVENUE, SUITE 1101, PITTSBURGH, PA ZIP+4 > 15  |                  | -10        | 41      |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> - check here  |                  | ►<br>/A    |         |
| 10 | and enter the amount of tax-exempt interest received or accrued during the year   15  |                  | / A<br>Yes | No      |
| 10 | At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank,  | 16               | 162        | X       |
|    | securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the  | 10               |            |         |
|    | foreign country   |                  |            |         |
| Pa | art VII-B Statements Regarding Activities for Which Form 4720 May Be Required   |                  |            |         |
|    | File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.   |                  | Yes        | No      |
| 1a | a During the year, did the foundation (either directly or indirectly):  |                  |            |         |
|    | (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  |                  |            |         |
|    | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)   |                  |            |         |
|    | a disqualified person? Yes X No   |                  |            |         |
|    | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes 🚺 No   |                  |            |         |
|    | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  |                  |            |         |
|    | (5) Transfer any income or assets to a disqualified person (or make any of either available   |                  |            |         |
|    | for the benefit or use of a disqualified person)? $\square$ Yes $\mathbf{X}$ No   |                  |            |         |
|    | (6) Agree to pay money or property to a government official? (Exception. Check "No"   |                  |            |         |
|    | if the foundation agreed to make a grant to or to employ the official for a period after  |                  |            |         |
|    | termination of government service, if terminating within 90 days.) 🗌 Yes 🗴 No   |                  |            |         |
| b  | b If any answer is "Yes" to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations   |                  |            |         |
|    | section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions   | 1b               |            | Х       |
|    | Organizations relying on a current notice regarding disaster assistance, check here   |                  |            |         |
| C  | bid the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected   |                  |            | 37      |
| •  | before the first day of the tax year beginning in 2019?   | 1c               |            | Х       |
| 2  | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation  |                  |            |         |
|    | defined in section 4942(j)(3) or 4942(j)(5)):   |                  |            |         |
| d  | a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines<br>6d and 6e) for tax year(s) beginning before 2019?  |                  |            |         |
|    | If "Voo " lint the voors  |                  |            |         |
| h  | b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect  |                  |            |         |
| ~  | valuation of assets) to the year's undistributed income? (If applying section $4942(a)(2)$ to <b>all</b> years listed, answer "No" and attach   |                  |            |         |
|    | statement - see instructions.) N/A  | 2b               |            |         |
| c  | the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here.  |                  |            |         |
|    |   |                  |            |         |
| 3a | ▶,,, ,, |                  |            |         |
|    | during the year? Yes 🗴 No   |                  |            |         |
| b  | b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after  |                  |            |         |
|    | May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose  |                  |            |         |
|    | of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720,   |                  |            |         |
|    | Schedule C, to determine if the foundation had excess business holdings in 2019.)   | 3b               |            |         |
|    | a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?   | 4a               |            | Х       |
| b  | b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that  |                  |            | 37      |
|    | had not been removed from jeopardy before the first day of the tax year beginning in 2019?  | 4b               |            | X       |

Form **990-PF** (2019)

# Form 990-PF (2019) CARNEGIE HERO FUND COMMISSION

| 25-1062730 Ра | age <b>6</b> |  |
|---------------|--------------|--|
|---------------|--------------|--|

| Part VII-B            | Statements Regarding Activities for Which Form 4720 May Be Requi   | Ired (continued) |      |     |    |
|-----------------------|--|------------------|------|-----|----|
| 5a During the         | year, did the foundation pay or incur any amount to:   |                  |      | Yes | No |
| (1) Carry o           | n propaganda, or otherwise attempt to influence legislation (section 4945(e))?                                       | Yes X No         |      |     |    |
| (2) Influen           | ce the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly,           |                  |      |     |    |
| any vot               | ter registration drive?  | Yes X No         |      |     |    |
| (3) Provide           | e a grant to an individual for travel, study, or other similar purposes?   | X Yes No         |      |     |    |
|                       | e a grant to an organization other than a charitable, etc., organization described in section                        |                  |      |     |    |
| 4945(d                | I)(4)(A)? See instructions   | X Yes No         |      |     |    |
|                       | e for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for            |                  |      |     |    |
| the pre               | vention of cruelty to children or animals?   | Yes X No         |      |     |    |
|                       | er is "Yes" to 5a(1)-(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regul |                  |      |     |    |
| section 53.4          | 1945 or in a current notice regarding disaster assistance? See instructions  |                  | . 5b |     | Х  |
|                       | ns relying on a current notice regarding disaster assistance, check here   |                  | ]    |     |    |
|                       | er is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained                |                  |      |     |    |
| expenditure           | responsibility for the grant?  | Yes 🔀 No         |      |     |    |
| lf "Yes," atta        | ch the statement required by Regulations section 53.4945-5(d).   |                  |      |     |    |
| 6a Did the four       | ndation, during the year, receive any funds, directly or indirectly, to pay premiums on                              |                  |      |     |    |
| a personal l          | penefit contract?  | Yes X No         |      |     |    |
| <b>b</b> Did the four | ndation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?                      |                  | 6b   |     | Х  |
| If "Yes" to 6         | b, file Form 8870.   |                  |      |     |    |
| 7a At any time        | during the tax year, was the foundation a party to a prohibited tax shelter transaction?                             | Yes X No         |      |     |    |
|                       | the foundation receive any proceeds or have any net income attributable to the transaction?                          |                  |      |     |    |
| 8 Is the found        | lation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or                     |                  |      |     |    |
| excess para           | ichute payment(s) during the year?   | Yes 🔀 No         |      |     |    |
| Part VIII             | Information About Officers, Directors, Trustees, Foundation Manage   | ers, Highly      |      |     |    |

Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

| List all officers, directors, trustees, and foundation managers and their compensation. |  |   |  |   |  |  |  |
|---|--|---|--|---|--|--|--|
| (a) Name and address  | <b>(b)</b> Title, and average<br>hours per week devoted<br>to position | (c) Compensation<br>(If not paid,<br>enter -0-) | (d) Contributions to<br>employee benefit plans<br>and deferred<br>compensation | (e) Expense<br>account, other<br>allowances |  |  |  |
| SEE STATEMENT 10  |  | 149,312.  | 20 625   | 0.  |  |  |  |
| SEE STATEMENT IV  |  | 149,312.  | 39,023.  | 0.  |  |  |  |
|   |  |   |  |   |  |  |  |
|   |  |   |  |   |  |  |  |
|   |  |   |  |   |  |  |  |
|   |  |   |  |   |  |  |  |
|   |  |   |  |   |  |  |  |
|   |  |   |  |   |  |  |  |

# 2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average<br>hours per week<br>devoted to position | (c) Compensation | (d) Contributions to<br>employee benefit plans<br>and deferred<br>compensation | (e) Expense<br>account, other<br>allowances |
|---|---|------------------|--|---|
| JEFF DOOLEY   | INVESTIGATION   | S MANAGER        |  |   |
| 436 SEVENTH AVE, PGH, PA 15219-1841                           | 40.00   | 109,649.         | 14,846.  | 0.  |
| SUSAN RIZZA   | CASE INVESTIG   | ATOR             |  |   |
| 436 SEVENTH AVE, PGH, PA 15219-1841                           | 40.00   | 77,904.          | 17,595.  | 0.  |
| JOSEPH MANDAK   | CASE INVESTIG   | ATOR             |  |   |
| 436 SEVENTH AVE, PGH, PA 15219-1841                           | 40.00   | 68,250.          | 20,614.  | 0.  |
| CHRIS FOREMAN   | CASE INVESTIG   | ATOR             |  |   |
| 436 SEVENTH AVE, PGH, PA 15219-1841                           | 40.00   | 51,539.          | 22,889.  | 0.  |
| JULIA PHRANER   | CASE INVESTIG   | ATOR             |  |   |
| 436 SEVENTH AVE, PGH, PA 15219-1841                           | 40.00   | 54,132.          | 10,017.  | 0.  |
| Total number of other employees paid over \$50,000            |   |                  | ►  | 0   |

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| Form 990-PF (2019) CARNEGIE HERO FUND COMMISSION   | 25-1062                   | 730 Page 7             |
|--|---------------------------|------------------------|
| Part VIII Information About Officers, Directors, Trustees, Foundation<br>Paid Employees, and Contractors (continued)                                     | on Managers, Highly       |                        |
| 3 Five highest-paid independent contractors for professional services. If none, enter "I   | NONE."                    |                        |
| (a) Name and address of each person paid more than \$50,000  | (b) Type of service       | (c) Compensation       |
| INDEPENDENT CONTROLLERS  |                           |                        |
|  | ACCOUNTING SERVICES       | 57,000                 |
|  |                           |                        |
|  |                           |                        |
|  |                           |                        |
|  |                           |                        |
|  |                           |                        |
|  |                           |                        |
|  |                           |                        |
|  |                           |                        |
| otal number of others receiving over \$50,000 for professional services  |                           |                        |
| Part IX-A Summary of Direct Charitable Activities  |                           |                        |
| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistica   | l information such as the | -                      |
| number of organizations and other beneficiaries served, conferences convened, research papers produce  | ed, etc.                  | Expenses               |
| MONTHLY GRANTS AND DISABLEMENT BENEFITS TO HE  | RO SURVIVORS              |                        |
| AND TO HEROES WHO HAVE BEEN INJURED WHILE PERM   | FORMING ACTS OF           |                        |
| HEROISM. TOTAL 54 RECIPIENTS.  |                           | 252,497                |
|  |                           |                        |
|  |                           |                        |
| SEE STATEMENT 11   |                           | 389,783                |
| EDUCATIONAL BENEFITS PAID TO HEROES AND TO TH  | E SURVIVING               |                        |
| DEPENDENTS OF HEROES. TOTAL 17 RECIPIENTS.   |                           | 4 6 9 9 6 5            |
|  |                           | 168,365                |
| MEDALS & CERTIFICATES: BRONZE-MINTED MEDALS P  | RESENTED IN               |                        |
| WOODEN CASES AND CERTIFICATES.   |                           | 16 242                 |
|  |                           | 16,243                 |
| Part IX-B Summary of Program-Related Investments Describe the two largest program-related investments made by the foundation during the tax year on line | ac 1 and 2                | Amount                 |
| N/A  |                           | Amount                 |
| N/A  |                           |                        |
|  |                           |                        |
|  |                           |                        |
| ·  |                           |                        |
|  |                           |                        |
| All other program-related investments. See instructions.   |                           |                        |
|  |                           |                        |
|  |                           |                        |
|  |                           |                        |
|  |                           |                        |
|  |                           |                        |
|  |                           |                        |
| Fotal. Add lines 1 through 3   |                           | 0                      |
|  | Fn                        | rm <b>990-PF</b> (2019 |

| Ρ  | Part X Minimum Investment Return (All domestic foundations                              | must complete this par       | t. Foreign four  | ndations,     | see instructions.) |
|----|---|------------------------------|------------------|---------------|--------------------|
| 1  | Fair market value of assets not used (or held for use) directly in carrying out charita | able, etc., purposes         |                  |               |                    |
| -  | Average monthly fair market value of securities   |                              |                  | 1a            | 4,992,893.         |
|    | • Average of monthly cash balances  |                              |                  | 1b            | 90,035.            |
|    | Fair market value of all other assets   |                              |                  | 10            | 46,348,148.        |
|    | I Total (add lines 1a, b, and c)  |                              |                  | 1d            | 51,431,076.        |
|    | e Reduction claimed for blockage or other factors reported on lines 1a and              |                              |                  |               |                    |
|    | 1c (attach detailed explanation)  | 1e                           | 0.               |               |                    |
| 2  | Acquisition indebtedness applicable to line 1 assets                                    |                              |                  | 2             | 0.                 |
| 3  | Subtract line 2 from line 1d  |                              |                  | 3             | 51,431,076.        |
| 4  | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amou    | nt, see instructions)        |                  | 4             | 771,466.           |
| 5  | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and      |                              |                  | 5             | 50,659,610.        |
| 6  | Minimum investment return. Enter 5% of line 5   |                              |                  | 6             | 2,532,981.         |
| Ρ  | Part XI Distributable Amount (see instructions) (Section 4942(j)(3)                     | and (j)(5) private operating | foundations an   | d certain     |                    |
|    | foreign organizations, check here 🕨 🚺 and do not complete this pa                       | rt.)                         |                  |               |                    |
| 1  | Minimum investment return from Part X, line 6   |                              |                  | 1             |                    |
| 2a | Tax on investment income for 2019 from Part VI, line 5                                  | 2a                           |                  |               |                    |
| b  |   |                              |                  |               |                    |
| C  |   |                              |                  | 2c            |                    |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                   |                              |                  | 3             |                    |
| 4  | Recoveries of amounts treated as qualifying distributions                               |                              |                  | 4             |                    |
| 5  | Add lines 3 and 4   |                              |                  | 5             |                    |
| 6  | Deduction from distributable amount (see instructions)                                  |                              |                  | 6             |                    |
| 7  | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Pa     |                              |                  | 7             |                    |
| Ρ  | Part XII Qualifying Distributions (see instructions)                                    |                              |                  |               |                    |
| 1  | Amounts paid (including administrative expenses) to accomplish charitable, etc., p      |                              |                  |               | 2 046 125          |
|    | Expenses, contributions, gifts, etc total from Part I, column (d), line 26              |                              |                  | 1a            | 2,046,135.         |
|    | Program-related investments - total from Part IX-B                                      |                              |                  | 1b            | 0.                 |
|    | Amounts paid to acquire assets used (or held for use) directly in carrying out chari    | table, etc., purposes        |                  | 2             |                    |
| 3  | Amounts set aside for specific charitable projects that satisfy the:                    |                              |                  |               |                    |
|    | Suitability test (prior IRS approval required)  |                              |                  | 3a            |                    |
|    | Cash distribution test (attach the required schedule)                                   |                              |                  | 3b            | 2,046,135.         |
| 4  | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8;    |                              |                  | 4             | 2,040,135.         |
| 5  | Foundations that qualify under section 4940(e) for the reduced rate of tax on net in    |                              |                  | _             | ٥                  |
| •  | income. Enter 1% of Part I, line 27b  |                              |                  | 5             | 2,046,135.         |
| 6  | Adjusted qualifying distributions. Subtract line 5 from line 4                          |                              |                  | 6             |                    |
|    | Note: The amount on line 6 will be used in Part V, column (b), in subsequent years      | s when calculating whether   | ine toundation q | lualifies for | r the section      |
|    | 4940(e) reduction of tax in those years.  |                              |                  |               |                    |

Form **990-PF** (2019)

## Form 990-PF (2019)

# Part XIII Undistributed Income (see instructions)

|   | Undistributed income (s  | ee instructions)     | N/A                               |             |                    |
|---|--|----------------------|-----------------------------------|-------------|--------------------|
|   |  | <b>(a)</b><br>Corpus | <b>(b)</b><br>Years prior to 2018 | (c)<br>2018 | <b>(d)</b><br>2019 |
|   | le amount for 2019 from Part XI,   |                      |                                   |             |                    |
|   | d income, if any, as of the end of 2019:   |                      |                                   |             |                    |
|   | unt for 2018 only  |                      |                                   |             |                    |
| <b>b</b> Total for p                    |  |                      |                                   |             |                    |
|   |  |                      |                                   |             |                    |
| 3 Excess dis                            | tributions carryover, if any, to 2019:   |                      |                                   |             |                    |
| <b>a</b> From 2014                      | ·  |                      |                                   |             |                    |
| <b>b</b> From 2015                      |  |                      |                                   |             |                    |
| <b>c</b> From 2016                      |  |                      |                                   |             |                    |
| <b>d</b> From 2017                      |  |                      |                                   |             |                    |
| e From 2018                             |  |                      |                                   |             |                    |
| f Total of lin                          | es 3a through e  |                      |                                   |             |                    |
|   | distributions for 2019 from  |                      |                                   |             |                    |
| Part XII, lir                           | ne 4: ►\$  |                      |                                   |             |                    |
| <b>a</b> Applied to                     | 2018, but not more than line 2a  |                      |                                   |             |                    |
| <b>b</b> Applied to                     | undistributed income of prior  |                      |                                   |             |                    |
| years (Elec                             | tion required - see instructions) $\dots$  |                      |                                   |             |                    |
| <b>c</b> Treated as                     | distributions out of corpus  |                      |                                   |             |                    |
| `                                       | equired - see instructions)  |                      |                                   |             |                    |
| <b>d</b> Applied to                     | 2019 distributable amount  |                      |                                   |             |                    |
| -                                       | amount distributed out of corpus   |                      |                                   |             |                    |
| (If an amoun                            | ibutions carryover applied to 2019<br>t appears in column (d), the same amount<br>wn in column (a).)                           |                      |                                   |             |                    |
| 6 Enter the<br>indicated                | net total of each column as<br>below:  |                      |                                   |             |                    |
| <b>a</b> Corpus. Add                    | l lines 3f, 4c, and 4e. Subtract line 5  |                      |                                   |             |                    |
|   | ' undistributed income. Subtract   |                      |                                   |             |                    |
| line 4b fro                             | m line 2b  |                      |                                   |             |                    |
| undistribu<br>deficiency<br>the sectior | mount of prior years'<br>ted income for which a notice of<br>has been issued, or on which<br>1 4942(a) tax has been previously |                      |                                   |             |                    |
| assessed                                |  |                      |                                   |             |                    |
|   | ne 6c from line 6b. Taxable  |                      |                                   |             |                    |
|   | ee instructions  |                      |                                   |             |                    |
|   | ted income for 2018. Subtract line   |                      |                                   |             |                    |
|   | e 2a. Taxable amount - see instr   |                      |                                   |             |                    |
|   | ted income for 2019. Subtract<br>Id 5 from line 1. This amount must  |                      |                                   |             |                    |
|   | ted in 2020  |                      |                                   |             |                    |
|   | reated as distributions out of   |                      |                                   |             |                    |
|   | satisfy requirements imposed by  |                      |                                   |             |                    |
|   | 0(b)(1)(F) or 4942(g)(3) (Election   |                      |                                   |             |                    |
|   | quired - see instructions)   |                      |                                   |             |                    |
|   | tributions carryover from 2014   |                      |                                   |             |                    |
|   | l on line 5 or line 7  |                      |                                   |             |                    |
|   | stributions carryover to 2020.   |                      |                                   |             |                    |
|   | nes 7 and 8 from line 6a   |                      |                                   |             |                    |
| 10 Analysis of                          |  |                      |                                   |             |                    |
| a Excess fro                            | 1  |                      |                                   |             |                    |
| <b>b</b> Excess fro                     |  |                      |                                   |             |                    |
| <b>c</b> Excess fro                     |  |                      |                                   |             |                    |
| <b>d</b> Excess fro                     |  |                      |                                   |             |                    |
| e Excess fro                            |  |                      |                                   |             |                    |

| Part XIV Private Operating F   | oundations (see ins  | structions and Part VII-  | A, question 9)             |                            |               |  |  |  |
|--|--|---------------------------|----------------------------|----------------------------|---------------|--|--|--|
| 1 a If the foundation has received a ruling o  | r determination letter that  | it is a private operating |                            |                            |               |  |  |  |
| foundation, and the ruling is effective for  | foundation, and the ruling is effective for 2019, enter the date of the ruling |                           |                            |                            |               |  |  |  |
| <b>b</b> Check box to indicate whether the found                                       | lation is a private operatin   | g foundation described in | n section X                | 4942(j)(3) or 49           | 42(j)(5)      |  |  |  |
| 2 a Enter the lesser of the adjusted net   | Tax year   |                           | Prior 3 years              |                            |               |  |  |  |
| income from Part I or the minimum  | <b>(a)</b> 2019  | <b>(b)</b> 2018           | (c) 2017                   | (d) 2016                   | (e) Total     |  |  |  |
| investment return from Part X for  |  |                           |                            |                            |               |  |  |  |
| each year listed   | 122,513.   | 245,343.<br>208,542.      | 1,172,967.                 | 89,668.                    | 1,630,491.    |  |  |  |
| <b>b</b> 85% of line 2a  | 104,136.   | 208,542.                  | 997,022.                   | 76,218.                    | 1,385,917.    |  |  |  |
| c Qualifying distributions from Part XII,  |  |                           |                            |                            |               |  |  |  |
| line 4, for each year listed   | 2,046,135.   | 2,151,062.                | 1,995,403.                 | 2,125,983.                 | 8,318,583.    |  |  |  |
| d Amounts included in line 2c not  |  |                           |                            |                            |               |  |  |  |
| used directly for active conduct of  |  |                           |                            |                            |               |  |  |  |
| exempt activities  | 0.   | 0.                        | 0.                         | 0.                         | 0.            |  |  |  |
| e Qualifying distributions made directly   |  |                           |                            |                            |               |  |  |  |
| for active conduct of exempt activities.   |  |                           |                            |                            |               |  |  |  |
| Subtract line 2d from line 2c  | 2,046,135.   | 2,151,062.                | 1,995,403.                 | 2,125,983.                 | 8,318,583.    |  |  |  |
| 3 Complete 3a, b, or c for the<br>alternative test relied upon:                        |  |                           |                            |                            |               |  |  |  |
| <b>a</b> "Assets" alternative test - enter:  |  |                           |                            |                            |               |  |  |  |
| (1) Value of all assets  |  |                           |                            |                            | 0.            |  |  |  |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i)                          |  |                           |                            |                            | 0.            |  |  |  |
| b "Endowment" alternative test - enter   |  |                           |                            |                            |               |  |  |  |
| 2/3 of minimum investment return   |  |                           |                            |                            |               |  |  |  |
| shown in Part X, line 6, for each year listed  | 1,688,654.   | 1,493,197.                | 1,573,230.                 | 1,404,472.                 | 6,159,553.    |  |  |  |
| <b>c</b> "Support" alternative test - enter:   | _,,  |                           |                            |                            |               |  |  |  |
| (1) Total support other than gross   |  |                           |                            |                            |               |  |  |  |
| investment income (interest,   |  |                           |                            |                            |               |  |  |  |
| dividends, rents, payments on  |  |                           |                            |                            |               |  |  |  |
| securities loans (section 512(a)(5)), or royalties)                                    |  |                           |                            |                            | 0.            |  |  |  |
| (2) Support from general public  |  |                           |                            |                            |               |  |  |  |
| and 5 or more exempt   |  |                           |                            |                            |               |  |  |  |
| organizations as provided in section 4942(j)(3)(B)(iii)                                |  |                           |                            |                            | 0.            |  |  |  |
| (3) Largest amount of support from   |  |                           |                            |                            |               |  |  |  |
| an exempt organization   |  |                           |                            |                            | 0.            |  |  |  |
| (4) Gross investment income  |  |                           |                            |                            | 0.            |  |  |  |
| Part XV   Supplementary Info   |  |                           | f the foundation           | had \$5,000 or mo          | ore in assets |  |  |  |
| at any time during t   | he year-see instr  | uctions.)                 |                            |                            |               |  |  |  |
| 1 Information Regarding Foundatio  | n Managers:  |                           |                            |                            |               |  |  |  |
| a List any managers of the foundation why<br>year (but only if they have contributed m |  |                           | ibutions received by the   | foundation before the clos | se of any tax |  |  |  |
| NONE   | , ,,- (-00 0   | \ /\=/-/                  |                            |                            |               |  |  |  |
| <b>b</b> List any managers of the foundation whe                                       |  |                           | or an equally large portio | n of the ownership of a pa | artnership or |  |  |  |
| other entity) of which the foundation has  | s a 10% of greater interes   | )L.                       |                            |                            |               |  |  |  |

#### NONE

Form 990-PF (2019)

## 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here F is the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

CARNEGIE HERO FUND COMMISSION

### SEE STATEMENT 13

25-1062730

Page **10** 

### SEE STATEMENT 12

**b** The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

| 3 Grants and Contributions Paid During the Y | ear or Approved for Future   | Payment                |                                  |                                      |
|--|--|------------------------|----------------------------------|--------------------------------------|
| Recipient                                    | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation             | Purpose of grant or contribution | Amount                               |
| Name and address (home or business)          | or substantial contributor   | status of<br>recipient | Contribution                     |                                      |
| <b>a</b> Paid during the year                |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
| SEE STATEMENT 14                             |  |                        |                                  |                                      |
|  |  |                        |                                  | 876,888.                             |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
| Total  | 1  |                        | ► 3a                             | 876,888.                             |
| <b>b</b> Approved for future payment         |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
| SEE STATEMENT 15                             |  |                        |                                  | 150 600                              |
|  |  |                        |                                  | 150,600.                             |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
|  |  |                        |                                  |                                      |
| Total  | I  | I                      | ► 3b                             | 150,600.                             |
|  |  |                        | F                                | 150,600.<br>orm <b>990-PF</b> (2019) |

923611 12-17-19

12281110 798870 4700

11 2019.04030 CARNEGIE HERO FUND COMMISSI 4700\_\_\_1 Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated.                 | Unrelated        | l business income | Exclu         | ded by section 512, 513, or 514 | (e)                       |
|---|------------------|-------------------|---------------|---------------------------------|---------------------------|
|   | (a)              | (b)               | (C)<br>Exclu- | (d)                             | Related or exempt         |
| 1 Program service revenue:                                      | Business<br>code | Amount            | sion          | Amount                          | function income           |
| a MISCELLANEOUS INCOME  |                  |                   |               |                                 | 99.                       |
| b   |                  |                   |               |                                 |                           |
| с   |                  |                   |               |                                 |                           |
| d   |                  |                   |               |                                 |                           |
| e   |                  |                   |               |                                 |                           |
| f   |                  |                   |               |                                 |                           |
| <b>g</b> Fees and contracts from government agencies            |                  |                   |               |                                 |                           |
| 2 Membership dues and assessments                               |                  |                   |               |                                 |                           |
| 3 Interest on savings and temporary cash                        |                  |                   |               |                                 |                           |
| investments   |                  |                   |               |                                 |                           |
| 4 Dividends and interest from securities                        |                  |                   | 14            | 303,967.                        |                           |
| 5 Net rental income or (loss) from real estate:                 |                  |                   |               |                                 |                           |
| a Debt-financed property  |                  |                   |               |                                 |                           |
| <b>b</b> Not debt-financed property                             |                  |                   |               |                                 |                           |
| 6 Net rental income or (loss) from personal                     |                  |                   |               |                                 |                           |
| property  |                  |                   |               |                                 |                           |
| 7 Other investment income                                       |                  |                   | 14            | 369,123.                        |                           |
| 8 Gain or (loss) from sales of assets other                     |                  |                   |               |                                 |                           |
| than inventory  | 900003           | -19,678.          | 18            | 1,391,147.                      |                           |
| 9 Net income or (loss) from special events                      |                  |                   |               |                                 |                           |
| 10 Gross profit or (loss) from sales of inventory               |                  |                   |               |                                 |                           |
| 11 Other revenue:   |                  |                   |               |                                 |                           |
| a   |                  |                   |               |                                 |                           |
| b   |                  |                   |               |                                 |                           |
| C   |                  |                   |               |                                 |                           |
| d   |                  |                   |               |                                 |                           |
| e   |                  |                   |               |                                 |                           |
| 12 Subtotal. Add columns (b), (d), and (e)                      |                  | -19,678.          |               | 2,064,237.                      | 99.                       |
| 13 Total. Add line 12, columns (b), (d), and (e)                |                  |                   |               |                                 | 2,044,658.                |
| (See worksheet in line 13 instructions to verify calculations.) |                  |                   |               |                                 |                           |
| Part XVI-B Relationship of Activities to                        | o the Acco       | mplishment of Ex  | emp           | t Purposes                      |                           |
| ·   |                  |                   |               |                                 |                           |
| Line No. Explain below how each activity for which income       |                  | . ,               | contrib       | outed importantly to the accon  | iplishment of             |
| the foundation's exempt purposes (other than 1                  |                  | ,                 | NEO           |                                 |                           |
| 1 ESTABLISHED APRIL 15, 1<br>COMMISSION, HEADQUARTER            |                  |                   |               |                                 |                           |
| IN CANADA AND THE UNITE   |                  |                   |               |                                 |                           |
| PROCESS HAS ALWAYS BEEN   |                  |                   |               |                                 |                           |
| BEFORE MAKING AN AWARD.   |                  |                   |               |                                 |                           |
| BY THE COMMISSION, CONS   |                  |                   |               |                                 |                           |
| IN THE CENTURY SINCE IT   |                  |                   |               |                                 |                           |
| AWARDED OVER 10,000 MEI   |                  |                   |               |                                 |                           |
| GRANTS, INCLUDING SCHOI   | ARSHIP           | ATD AND FIN       | ANC           | TAL ASSISTANC                   | E TO HEROES.              |
|   |                  |                   |               |                                 |                           |
|   |                  |                   |               |                                 |                           |
|   |                  |                   |               |                                 |                           |
|   |                  |                   |               |                                 |                           |
|   |                  |                   |               |                                 |                           |
|   |                  |                   |               |                                 |                           |
|   |                  |                   |               |                                 |                           |
|   |                  |                   |               |                                 |                           |
|   |                  |                   |               |                                 |                           |
|   |                  |                   |               |                                 |                           |
| 923621 12-17-19   |                  |                   |               |                                 | Form <b>990-PF</b> (2019) |

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| Form | 990-PF | (2019 |
|------|--------|-------|
|      |        |       |

|            |                                     | GIE HERO FUND COMMISSION  | 25-106  | 2730         | Pa      | ige 13 |
|------------|-------------------------------------|---|---|--------------|---------|--------|
| Part )     | KVII Information Re<br>Exempt Organ | egarding Transfers to and Transactions a<br>izations  | nd Relationships With Noncha                    | ritable      |         |        |
| 1 Did      |                                     | ectly engage in any of the following with any other organizatio   | on described in section 501(c)                  |              | Yes     | No     |
|            |                                     | izations) or in section 527, relating to political organizations?   |   |              |         |        |
| a Tra      | nsfers from the reporting founda    | ation to a noncharitable exempt organization of:  |   |              |         |        |
| (1)        | Cash                                |   |   | 1a(1)        |         | X      |
| (2)        | Other assets                        |   |   | 1a(2)        |         | Х      |
| b Oth      | er transactions:                    |   |   | 1200         | See.    |        |
| (1)        | Sales of assets to a noncharitat    | ole exempt organization   |   | . 1b(1)      |         | X      |
| (2)        | Purchases of assets from a nor      | ncharitable exempt organization   |   | 1b(2)        |         | X      |
| (3)        | Rental of facilities, equipment, o  | or other assets   |   | 1b(3)        |         | Х      |
| (4)        | Reimbursement arrangements          |   |   | 1b(4)        |         | X      |
| (5)        | Loans or loan guarantees            |   |   | 1b(5)        |         | X      |
| (6)        | Performance of services or me       | mbership or fundraising solicitations   |   | 1b(6)        |         | X      |
| c Sha      | ring of facilities, equipment, mai  | lling lists, other assets, or paid employees<br>"Yes," complete the following schedule. Column (b) should alv |   | . 1c         |         | X      |
| (a)Line no | o. (b) Amount involved              | (c) Name of noncharitable exempt organization   | (d) Description of transfers, transactions, and | d sharing ar | rangeme | nts    |
|            |                                     | N/A   |   |              |         | -      |
|            |                                     |   |   |              |         |        |
|            |                                     |   |   |              |         |        |
|            |                                     |   |   |              |         |        |
|            |                                     |   |   |              |         |        |
|            |                                     |   |   |              |         |        |
|            |                                     |   |   |              |         |        |
|            |                                     |   |   |              |         |        |
| -          |                                     |   |   |              |         |        |
|            |                                     |   |   |              |         |        |
|            |                                     |   |   |              |         |        |
|            |                                     |   |   |              |         |        |
|            |                                     |   |   |              |         |        |
|            |                                     |   |   |              |         |        |
|            |                                     |   |   |              |         |        |
| 2a Ist     | he foundation directly or indirect  | ly affiliated with, or related to, one or more tax-exempt organiz   | zations described                               |              |         |        |
|            |                                     | n 501(c)(3)) or in section 527?   |   | Yes          | X       | No     |
|            | (as " complete the following cohe   |   |   |              |         |        |

| DIIY                     | es, cc | omplete the following schedule.                         |  |            |   |                                 |   |
|--------------------------|--------|---|--|------------|---|---------------------------------|---|
| (a) Name of organization |        |   | (b) Type of organiz  | ation      |   | (c) Description of r            | elationship   |
|                          |        | N/A   |  |            |   |                                 |   |
|                          |        |   |  |            |   |                                 |   |
|                          |        |   |  |            |   |                                 |   |
|                          |        |   |  |            |   |                                 |   |
|                          |        |   |  | _          |   |                                 |   |
|                          |        | penalties of porjury, I declare that I have examined th |  |            |   |                                 |   |
| Sign<br>Here             | and be | lifer, it is the correct and complete. Declaration of p | reparer (other than taxpayer) is based on a<br>11/10/2<br>Date | all inform | Antion of which prenau<br>PRESI<br>SECRE<br>Title | er has anv knowledge.<br>DENT & | May the IRS discuss this return with the preparer shown below? See instr. |
|                          |        | Print/Type preparer's name                              | Preparer's signature   |            | Date  | Check if                        | PTIN  |
|                          |        |   |  |            |   | self- employed                  |   |
| Paid                     |        | MICHAEL M. COMSTOCH                                     | MIM. CH, CPI   | 7          | 11/10/20  |                                 | P00474378   |
| Prepa                    |        | Firm's name <b>SISTERSON</b> &                          | CO. LLP  |            |   | Firm's EIN 🕨 2                  | 5-1467156   |
| Use (                    | Only   |   |  |            |   |                                 |   |
|                          |        | Firm's address > 310 GRANT                              | STREET SUITE 210   | 0          |   |                                 |   |
|                          |        | PITTSBURGH  | PA 15219   |            |   | Phone no. 41                    | 2-281-2025  |
|                          |        |   |  |            |   |                                 | Form <b>990-PF</b> (2019)   |

| CARNEGIE    | HERO         | FUND      | COMMISSION               |
|-------------|--------------|-----------|--------------------------|
| Part IV Can | ital Gaine a | nd Loeege | for Tax on Investment In |

| <b>(a)</b> List and<br>2-story bri  | describe the kind(s) of property sol<br>ick warehouse; or common stock, 2 | a, e.g., real estate,<br>00 shs. MLC Co.        | ( <b>b)</b> How acquired<br>P - Purchase<br>D - Donation | d <b>(c)</b> Date acquired<br>(mo., day, yr.)  | ( <b>d)</b> Date so<br>(mo., day, y |
|---|---|---|--|--|-------------------------------------|
| a THE TIFF KEYSTO   |   |   | P  |  |                                     |
| D THE TIFF KEYSTO   | ONE FUND, LP  |   | P  |  |                                     |
| C TIFF MULTI ASSE   |   |   | P  |  |                                     |
|   | M FUND  |   | P  |  |                                     |
|   | M FUND CCNY   |   | P  |  |                                     |
| f TIFF SHORT TERN   | M FUND CCNY2  |   | P  |  |                                     |
| <u>g</u>  |   |   |  |  |                                     |
| h<br>i  |   |   |  |  |                                     |
| i   |   |   |  |  |                                     |
| k   |   |   |  |  |                                     |
|   |   |   |  |  |                                     |
| m   |   |   |  |  |                                     |
| n   |   |   |  |  |                                     |
| 0   |   |   |  |  |                                     |
| (e) Gross sales price   | (f) Depreciation allowed<br>(or allowable)                                | (g) Cost or other basis<br>plus expense of sale |  | <b>1)</b> Gain or (loss)<br>plus (f) minus (g) |                                     |
|   |   |   |  |  | 696,34                              |
| 250 200   |   |   |  |  | 214,75                              |
| 259,399.  |   | 259,399.  |  |  | 1 1/                                |
| 1,775,000.<br>14,157.   |   | 1,773,810.<br>14,207.                           |  |  | 1,19                                |
| 35,843.   |   | 35,807.   |  |  | - :                                 |
| 55,645.   |   | 55,007•   |  |  |                                     |
|   |   |   |  |  |                                     |
|   |   |   |  |  |                                     |
|   |   |   |  |  |                                     |
|   |   |   |  |  |                                     |
|   |   |   |  |  |                                     |
| 1   |   |   |  |  |                                     |
|   |   |   |  |  |                                     |
| Complete only for assets showin   | g gain in column (h) and owned by <sup>.</sup>                            | the foundation on 12/31/60                      | /// -  | sses (from col. (h))                           |                                     |
| (i) F.M.V. as of 12/31/69   | (j) Adjusted basis<br>as of 12/31/69                                      | (k) Excess of col. (i)<br>over col. (j), if any | Gains (excess  | of col. (h) gain over<br>not less than "-0-")  | <sup>-</sup> col. (k),              |
|   |   |   |  | 1  | 696,34                              |
|   |   |   | * *  |  | $\frac{090,34}{214,75}$             |
|   |   |   |  |  |                                     |
|   |   |   | * *  |  | 1,19                                |
|   |   |   |  |  | - !                                 |
|   |   |   |  |  |                                     |
|   |   |   | * *  |  |                                     |
|   |   |   | **   |  |                                     |
|   |   |   | **   |  |                                     |
|   |   |   | **   |  |                                     |
|   |   |   | **   |  |                                     |
|   |   |   | **   |  |                                     |
|   |   |   | **   |  |                                     |
| 1   |   |   | **   |  |                                     |
|   |   |   | **   |  |                                     |
|   |   |   | **   |  |                                     |
|   | )ital loss) { If gain, also enter   | r in Part I, line 7                             | **   | 1.   |                                     |
| Capital gain net income or (net cap   |   |   |  | 1,   |                                     |
|   | s) as defined in sections 1222(5) an column (c).                          |   |  |  | 912,2                               |
| Capital gain net income or (net cap<br>Net short-term capital gain or (loss<br>If gain, also enter in Part I, line 8, c | s) as defined in sections 1222(5) an column (c).                          |   | 2  |  | 912,2                               |

# Return by a U.S. Transferor of Property **to a Foreign Corporation** Go to www.irs.gov/Form926 for instructions and the latest information.

OMB No. 1545-0026

Attach to your income tax return for the year of the transfer or distribution.

Attachment Sequence No. **128** 

| Pa                            | rt I U.S. Transferor Information (see instructions)   |               |          |                 |                       |  |  |  |
|-------------------------------|---|---------------|----------|-----------------|-----------------------|--|--|--|
| Nam                           | e of transferor   | 1             | dentifyi | ng numb         | er (see instructions) |  |  |  |
| CARNEGIE HERO FUND COMMISSION |   |               |          |                 |                       |  |  |  |
|                               |   |               | 25-3     | 1062            |                       |  |  |  |
| 1                             | Is the transferee a specified 10%-owned foreign corporation that is not a controlled foreign corporation?         |               | . L      | Yes             | X No                  |  |  |  |
| 2                             | If the transferor was a corporation, complete questions 2a through 2d.  |               |          |                 |                       |  |  |  |
| а                             | If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by     |               |          | _               |                       |  |  |  |
|                               | five or fewer domestic corporations?  |               |          | Yes             | X No                  |  |  |  |
| b                             | Did the transferor remain in existence after the transfer?  |               | X        | Yes             | No No                 |  |  |  |
|                               | If not, list the controlling shareholder(s) and their identifying number(s).                                      |               |          |                 |                       |  |  |  |
|                               | Controlling shareholder   | Identi        | ifying r | number          |                       |  |  |  |
|                               |   |               |          |                 |                       |  |  |  |
|                               |   |               |          |                 |                       |  |  |  |
|                               |   |               |          |                 |                       |  |  |  |
|                               |   |               |          |                 |                       |  |  |  |
|                               |   |               |          |                 |                       |  |  |  |
|                               |   |               |          |                 |                       |  |  |  |
|                               |   |               |          |                 |                       |  |  |  |
|                               |   |               |          |                 |                       |  |  |  |
|                               |   |               |          |                 |                       |  |  |  |
|                               |   |               |          |                 |                       |  |  |  |
|                               |   |               |          |                 |                       |  |  |  |
| с                             | If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corporation | ו?            | X        | Yes             | No                    |  |  |  |
|                               | If not, list the name and employer identification number (EIN) of the parent corporation.                         |               |          |                 |                       |  |  |  |
|                               | Name of parent corporation E  | IN of pa      | arent c  | ornorat         | ion                   |  |  |  |
|                               |   | int or pe     |          | orporat         |                       |  |  |  |
|                               |   |               |          |                 |                       |  |  |  |
|                               | Lave basis adjustments under castion 267(a)(4) been made?   |               |          | Yes             | X No                  |  |  |  |
| a                             | Have basis adjustments under section 367(a)(4) been made?   |               | . ட      | l res           |                       |  |  |  |
| 3                             | If the transferor was a partner in a partnership that was the actual transferor (but is not treated as such unde  | r section     | n 367)   |                 |                       |  |  |  |
| U                             | complete questions 3a through 3d.   | 1 300101      | 1007),   |                 |                       |  |  |  |
| а                             | List the name and EIN of the transferor's partnership.  |               |          |                 |                       |  |  |  |
|                               |   |               |          |                 |                       |  |  |  |
|                               | Name of partnership   | EIN o         | of partr | nership         |                       |  |  |  |
|                               |   |               |          |                 |                       |  |  |  |
| TI                            | HE TIFF KEYSTONE FUND LP  |               |          |                 |                       |  |  |  |
|                               | Did the partner pick up its pro rata share of gain on the transfer of partnership assets?                         |               |          | Yes             | X No                  |  |  |  |
|                               | Is the partner disposing of its <b>entire</b> interest in the partnership?  |               | . L      | Yes             | X No                  |  |  |  |
| d                             | Is the partner disposing of an interest in a limited partnership that is regularly traded on an established       |               |          | -               |                       |  |  |  |
| De                            | securities market?  | <u></u>       | . L      | Yes             | XNo                   |  |  |  |
|                               | rt II Transferee Foreign Corporation Information (see instructions)   | <b>5</b> - 14 |          |                 |                       |  |  |  |
| 4                             | Name of transferee (foreign corporation)  | ba ide        | entityin | amun gi         | er, if any            |  |  |  |
| 01                            | BERLAND CAPITAL HEALTHCARE OFFSHORE LP  | 36-           | 476      | 7377            |                       |  |  |  |
|                               | Address (including country)   |               |          | e ID num        | her                   |  |  |  |
|                               | 00 BROADWAY, 37TH FLOOR   |               |          | o ib nan        |                       |  |  |  |
|                               | W YORK, NY 10019  |               |          |                 |                       |  |  |  |
|                               | Country code of country of incorporation or organization  | L             |          |                 |                       |  |  |  |
| C                             |   |               |          |                 |                       |  |  |  |
| 8                             | Foreign law characterization (see instructions)   |               |          |                 |                       |  |  |  |
| E                             | XEMPTED LIMITED PARTNERSHIP   |               |          |                 |                       |  |  |  |
| 9                             | Is the transferee foreign corporation a controlled foreign corporation?   |               | . L      | Yes             | XNo                   |  |  |  |
| 92453                         | 1 04-01-19 LHA For Paperwork Reduction Act Notice, see separate instructions.                                     |               | For      | rm <b>926</b> ( | Rev. 11-2018          |  |  |  |
|                               | 40  |               |          |                 |                       |  |  |  |

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2019.04030 CARNEGIE HERO FUND COMMISSI 4700\_\_\_1

| Form 926 (Rev. 11-2018) CARNEGIE HERO FUND COMMISSIO |
|--|
|--|

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Part III Information Regarding Transfer of Property (see instructions)

| Section A - Cash |  |
|------------------|--|
|------------------|--|

| ooddon M oddin      |                                   |  |  |                                      |  |
|---------------------|-----------------------------------|--|--|--------------------------------------|--|
| Type of<br>property | <b>(a)</b><br>Date of<br>transfer | <b>(b)</b><br>Description of<br>property | <b>(c)</b><br>Fair market value on<br>date of transfer | <b>(d)</b><br>Cost or other<br>basis | <b>(e)</b><br>Gain recognized on<br>transfer |
| Cash                |                                   |  | 128,941.   |                                      |  |

10 Was cash the only property transferred?

If "Yes," skip the remainder of Part III and go to Part IV.

# Section B - Other Property (other than intangible property subject to section 367(d))

| Type of<br>property  | <b>(a)</b><br>Date of<br>transfer | <b>(b)</b><br>Description of<br>property | <b>(c)</b><br>Fair market value on<br>date of transfer | <b>(d)</b><br>Cost or other<br>basis | <b>(e)</b><br>Gain recognized on<br>transfer |
|----------------------|-----------------------------------|--|--|--------------------------------------|--|
| Stock and securities |                                   |  |  |                                      |  |
| Inventory            |                                   |  |  |                                      |  |
|                      |                                   |  |  |                                      |  |
| Other property       |                                   |  |  |                                      |  |
| (not listed under    |                                   |  |  |                                      |  |
| another category)    |                                   |  |  |                                      |  |
|                      |                                   |  |  |                                      |  |
|                      |                                   |  |  |                                      |  |
| Duran and an alth    |                                   |  |  |                                      |  |
| Property with        |                                   |  |  |                                      |  |
| built-in loss        |                                   |  |  |                                      |  |
| Totals               |                                   |  |  |                                      |  |

| 11   | Did the transferor transfer stock or securities subject to section 367(a) with respect to which a gain         |     |        |
|------|--|-----|--------|
|      | recognition agreement was filed?   | Ves | L No   |
| 12 a | Were any assets of a foreign branch (including a branch that is a foreign disregarded entity) transferred to a |     |        |
|      | foreign corporation?   | Yes | └── No |
|      | If "Yes," go to line 12b.  |     |        |
| b    | Was the transferor a domestic corporation that transferred substantially all of the assets of a foreign branch |     |        |
|      | (including a branch that is a foreign disregarded entity) to a specified 10%-owned foreign corporation?        | Yes | 🗌 No   |
|      | If "Yes," continue to line 12c. If "No," skip lines 12c and 12d, and go to line 13.                            |     |        |
| с    | Immediately after the transfer, was the domestic corporation a U.S. shareholder with respect to the            |     |        |
|      | transferee foreign corporation?  | Yes | 🗌 No   |
|      | If "Yes," continue to line 12d. If "No," skip line 12d, and go to line 13.                                     |     |        |
| d    | Enter the transferred loss amount included in gross income as required under section 91 > \$                   |     |        |
| 13   | Did the transferor transfer property described in section 367(d)(4)?   | Yes | No No  |
|      | If "No," skip Section C and questions 14a through 15.  |     |        |

# Section C - Intangible Property Subject to Section 367(d)

| Type of property   | <b>(a)</b><br>Date of<br>transfer | <b>(b)</b><br>Description of<br>property | <b>(c)</b><br>Useful<br>life | <b>(d)</b><br>Arm's length price<br>on date of transfer | <b>(e)</b><br>Cost or other<br>basis | <b>(f)</b><br>Income inclusion for<br>year of transfer |  |
|--------------------|-----------------------------------|--|------------------------------|---|--------------------------------------|--|--|
|                    |                                   |  |                              |   |                                      |  |  |
|                    |                                   |  |                              |   |                                      |  |  |
|                    |                                   |  |                              |   |                                      |  |  |
| Property described |                                   |  |                              |   |                                      |  |  |
| in sec. 367(d)(4)  |                                   |  |                              |   |                                      |  |  |
|                    |                                   |  |                              |   |                                      |  |  |
|                    |                                   |  |                              |   |                                      |  |  |
|                    |                                   |  |                              |   |                                      |  |  |
|                    |                                   |  |                              |   |                                      |  |  |
| Totals             |                                   |  |                              |   |                                      |  |  |

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|----------|--|------------|---------------|
|          |  |            |               |
| 14 a     | Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life   |            |               |
|          | reasonably anticipated to exceed 20 years?   | Yes        | No No         |
| b        | At the time of the transfer, did any of the transferred intangible property have an indefinite useful life?  | Yes        | No No         |
| с        | Did the transferor choose to apply the 20-year inclusion period provided under Regulations section   |            |               |
|          | 1.367(d)-1(c)(3)(ii) for any intangible property?  | Yes        | 🗌 No          |
| d        | If the answer to line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable  |            |               |
|          | to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in   |            |               |
|          | Regulations section 1.367(d)-1(c)(3)(ii) 🕨 \$  |            |               |
| 15       | Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any   |            |               |
|          | time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?  | Yes        | 🗌 No          |
| _        |  |            |               |
| Sup      | plemental Part III Information Required To Be Reported (see instructions)  |            |               |
|          |  |            |               |
|          |  |            |               |
|          |  |            |               |
|          |  |            |               |
|          |  |            |               |
|          |  |            |               |
|          |  |            |               |
|          |  |            |               |
|          |  |            |               |
|          |  |            |               |
| Pa       | rt IV Additional Information Regarding Transfer of Property (see instructions)   |            |               |
|          |  |            |               |
| 16       | Enter the transferor's interest in the transferee foreign corporation before and after the transfer.   |            |               |
|          | (a) Before 0.469 % (b) After 0.469 %   |            |               |
| 17       | Type of nonrecognition transaction (see instructions) SECTION 351  |            |               |
| 18       | Indicate whether any transfer reported in Part III is subject to any of the following.   |            |               |
| а        | Gain recognition under section 904(f)(3)   | Yes        | X No          |
|          | Gain recognition under section 904(f)(5)(F)  |            | X No          |
|          | Recapture under section 1503(d)  |            | X No          |
|          | Exchange gain under section 987  |            | X No          |
| 19<br>19 | Did this transfer result from a change in entity classification?   |            |               |
|          | Did this transfer result from a change in entry classification?<br>Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) |            |               |
| 20 d     | If "Yes," complete lines 20b and 20c.  |            |               |
| L        |  | ► ¢        |               |
|          | Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b)  | ► \$       |               |
| С        | Did the domestic corporation not recognize gain or loss on the distribution of property because the  |            | <b>—</b>      |
| •        | property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)?   | Yes        | └── No        |
| 21       | Did a domestic corporation make a section 355 distribution of stock in a foreign controlled corporation  |            | X No          |
|          | covered by section 367(e)(1)? See instructions   | Yes        | LAJ NO        |

Form 926 (Rev. 11-2018)

# Return by a U.S. Transferor of Property **to a Foreign Corporation** Go to www.irs.gov/Form926 for instructions and the latest information.

OMB No. 1545-0026

Attach to your income tax return for the year of the transfer or distribution.

Attachment Sequence No. **128** 

| Part I U.S             | . Transferor Information (see instructions)  |              |         |              |
|------------------------|--|--------------|---------|--------------|
| Name of transfe        | Identifying number (see instructions   |              |         |              |
| CARNEGI                | E HERO FUND COMMISSION   |              |         |              |
|                        |  | 25-1         | 0627    |              |
| 1 Is the tran          | sferee a specified 10%-owned foreign corporation that is not a controlled foreign corporation?           |              | Yes     | X No         |
| 2 If the trans         | feror was a corporation, complete questions 2a through 2d.   |              |         |              |
| a If the trans         | fer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by        |              |         |              |
| five or few            |  | Yes          | X No    |              |
| <b>b</b> Did the tra   | X  | Yes          | No No   |              |
| If not, list           | he controlling shareholder(s) and their identifying number(s).   |              |         |              |
|                        | Controlling shareholder Id   | lentifying n | umber   |              |
|                        |  |              |         |              |
|                        |  |              |         |              |
|                        |  |              |         |              |
|                        |  |              |         |              |
|                        |  |              |         |              |
|                        |  |              |         |              |
|                        |  |              |         |              |
|                        |  |              |         |              |
|                        |  |              |         |              |
|                        |  |              |         |              |
|                        |  |              |         |              |
| c If the trans         | feror was a member of an affiliated group filing a consolidated return, was it the parent corporation?   | X            | Yes     | No           |
| If not, list           | he name and employer identification number (EIN) of the parent corporation.                              |              |         |              |
|                        | Name of parent corporation EIN o   | of parent co | rporati | on           |
|                        |  |              |         |              |
|                        |  |              |         |              |
|                        | s adjustments under section 367(a)(4) been made?   |              | Yes     | X No         |
| U Have Dasi            |  |              | 162     |              |
| 3 If the trans         | feror was a partner in a partnership that was the actual transferor (but is not treated as such under se | ction 367)   |         |              |
|                        | questions 3a through 3d.   | 00011001),   |         |              |
|                        | me and EIN of the transferor's partnership.  |              |         |              |
|                        |  |              |         |              |
|                        | Name of partnership E  | IN of partne | ership  |              |
|                        |  |              |         |              |
|                        | F KEYSTONE FUND LP   |              |         |              |
|                        | rtner pick up its pro rata share of gain on the transfer of partnership assets?                          |              | Yes     | X No         |
|                        | ner disposing of its <b>entire</b> interest in the partnership?  |              | Yes     | X No         |
|                        | ner disposing of an interest in a limited partnership that is regularly traded on an established         |              |         | V            |
| securities Part II Tra | market?  nsferee Foreign Corporation Information (see instructions)                                      |              | Yes     | X No         |
|                        |  | Idontifying  |         | er if only   |
| 4 Name of t            | ansferee (foreign corporation) 5a  | Identifying  | y numb  | er, il ariy  |
| SICCAR                 | POINT (GUERNSEY) INVESTMENT LIMITED 9  | 8-1194       | 788     |              |
|                        |  | Reference    |         | ber          |
|                        | G, TRAFALGAR COURT, LES BANQUES  |              |         |              |
| ST. PETE               | R PORT, GY1 3PP GUERNSEY   |              |         |              |
|                        | de of country of incorporation or organization   |              |         |              |
| GK                     |  |              |         |              |
| •                      | v characterization (see instructions)  |              |         |              |
| CORPORA                | FION   |              |         |              |
|                        | sferee foreign corporation a controlled foreign corporation?   |              | Yes     | No No        |
|                        | _HA For Paperwork Reduction Act Notice, see separate instructions.                                       | Γ            | - 000 / | Rev. 11-2018 |

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# Form 926 (Rev. 11-2018) CARNEGIE HERO FUND COMMISSION

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X No

| Part III Information Regarding Transfer of Property (see instruction | ns) |
|--|-----|
|--|-----|

# Section A - Cash

| Type of property | <b>(a)</b> | <b>(b)</b>     | <b>(c)</b>           | <b>(d)</b>    | <b>(e)</b>         |
|------------------|------------|----------------|----------------------|---------------|--------------------|
|                  | Date of    | Description of | Fair market value on | Cost or other | Gain recognized on |
|                  | transfer   | property       | date of transfer     | basis         | transfer           |
| Cash             |            |                |                      |               |                    |

10 Was cash the only property transferred?

If "Yes," skip the remainder of Part III and go to Part IV.

| Section B - Other Property (other than intangible property subject to section 367(d)) |                            |                                   |   |                               |  |  |  |  |
|---|----------------------------|-----------------------------------|---|-------------------------------|--|--|--|--|
| Type of<br>property   | (a)<br>Date of<br>transfer | (b)<br>Description of<br>property | (c)<br>Fair market value on<br>date of transfer | (d)<br>Cost or other<br>basis | <b>(e)</b><br>Gain recognized on<br>transfer |  |  |  |
| Stock and securities  |                            |                                   |   |                               |  |  |  |  |
| Inventory   |                            |                                   |   |                               |  |  |  |  |
|   |                            | PTRSHP INT.                       | 185,983.  |                               |  |  |  |  |
| Other property  |                            |                                   |   |                               |  |  |  |  |
| (not listed under   |                            |                                   |   |                               |  |  |  |  |
| another category)   |                            |                                   |   |                               |  |  |  |  |
|   |                            |                                   |   |                               |  |  |  |  |
|   |                            |                                   |   |                               |  |  |  |  |
| Duran and an ittle  |                            |                                   |   |                               |  |  |  |  |
| Property with   |                            |                                   |   |                               |  |  |  |  |
| built-in loss   |                            |                                   |   |                               |  |  |  |  |
| Totals  |                            |                                   | 185,983.  |                               |  |  |  |  |

| 11   | Did the transferor transfer stock or securities subject to section 367(a) with respect to which a gain recognition agreement was filed?  | Yes | X No  |
|------|--|-----|-------|
| 12 a | Were any assets of a foreign branch (including a branch that is a foreign disregarded entity) transferred to a foreign corporation?  | Yes | X No  |
|      | If "Yes," go to line 12b.  |     |       |
| b    | Was the transferor a domestic corporation that transferred substantially all of the assets of a foreign branch (including a branch that is a foreign disregarded entity) to a specified 10%-owned foreign corporation? | Yes | No No |
|      | If "Yes," continue to line 12c. If "No," skip lines 12c and 12d, and go to line 13.  |     |       |
| с    | Immediately after the transfer, was the domestic corporation a U.S. shareholder with respect to the transferee foreign corporation?  | Yes | 🗌 No  |
|      | If "Yes," continue to line 12d. If "No," skip line 12d, and go to line 13.   |     |       |
| d    | Enter the transferred loss amount included in gross income as required under section 91 🕨 \$   |     |       |
| 13   | Did the transferor transfer property described in section 367(d)(4)?   | Yes | X No  |
|      | If "No," skip Section C and questions 14a through 15.  |     |       |

# Section C - Intangible Property Subject to Section 367(d)

| Type of<br>property | (a)<br>Date of<br>transfer | <b>(b)</b><br>Description of<br>property | <b>(c)</b><br>Useful<br>life | <b>(d)</b><br>Arm's length price<br>on date of transfer | <b>(e)</b><br>Cost or other<br>basis | <b>(f)</b><br>Income inclusion for<br>year of transfer |
|---------------------|----------------------------|--|------------------------------|---|--------------------------------------|--|
|                     |                            |  |                              |   |                                      |  |
| Property described  |                            |  |                              |   |                                      |  |
| in sec. 367(d)(4)   |                            |  |                              |   |                                      |  |
|                     |                            |  |                              |   |                                      |  |
| Totals              |                            |  |                              |   |                                      |  |

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|   | 1926 (Rev. 11-2018) CARNEGIE HERO FUND COMMISSION  | 25-1062730   | Page <b>3</b>                        |
|---|--|--|--------------------------------------|
| 14 a<br>b<br>c                              | Dig 926 (Rev. 11·2018)       CARNEGIE HERO FUND COMMISSION         Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life reasonably anticipated to exceed 20 years?   | Yes<br>Yes<br>Yes                                    | Page 3<br>X No<br>X No<br>X No       |
| Sur   | plemental Part III Information Required To Be Reported (see instructions)  |  |                                      |
| <u></u>                                     |  |  |                                      |
|   |  |  |                                      |
|   |  |  |                                      |
|   |  |  |                                      |
|   |  |  |                                      |
|   |  |  |                                      |
|   |  |  |                                      |
|   |  |  |                                      |
|   |  |  |                                      |
|   |  |  |                                      |
| Pa  | rt IV Additional Information Regarding Transfer of Property (see instructions)   |  |                                      |
| Pa  | rt IV Additional Information Regarding Transfer of Property (see instructions)   |  |                                      |
| <b>Pa</b>                                   | Enter the transferor's interest in the transferee foreign corporation before and after the transfer.   |  |                                      |
|   | Enter the transferor's interest in the transferee foreign corporation before and after the transfer.<br>(a) Before $0.000\%$ (b) After $0.032\%$   |  |                                      |
|   | Enter the transferor's interest in the transferee foreign corporation before and after the transfer.   |  |                                      |
| 16  | Enter the transferor's interest in the transferee foreign corporation before and after the transfer.<br>(a) Before $0.000\%$ (b) After $0.032\%$   |  |                                      |
| 16<br>17                                    | Enter the transferor's interest in the transferee foreign corporation before and after the transfer.<br>(a) Before $0.000 \%$ (b) After $0.032 \%$<br>Type of nonrecognition transaction (see instructions) $\blacktriangleright$ SECTION 351<br>Indicate whether any transfer reported in Part III is subject to any of the following.  | <br>Yes  | X No                                 |
| 16<br>17<br>18                              | Enter the transferor's interest in the transferee foreign corporation before and after the transfer.<br>(a) Before $0.000 \%$ (b) After $0.032 \%$<br>Type of nonrecognition transaction (see instructions) $\blacktriangleright$ SECTION 351<br>Indicate whether any transfer reported in Part III is subject to any of the following.<br>Gain recognition under section 904(f)(3)  |  | X No                                 |
| 16<br>17<br>18<br>a                         | Enter the transferor's interest in the transferee foreign corporation before and after the transfer.<br>(a) Before <u>0.000</u> % (b) After <u>0.032</u> %<br>Type of nonrecognition transaction (see instructions) ► SECTION 351<br>Indicate whether any transfer reported in Part III is subject to any of the following.<br>Gain recognition under section 904(f)(3)<br>Gain recognition under section 904(f)(5)(F)   | Yes  | X No<br>X No                         |
| 16<br>17<br>18<br>b<br>c                    | Enter the transferor's interest in the transferee foreign corporation before and after the transfer.<br>(a) Before <u>0.000</u> % (b) After <u>0.032</u> %<br>Type of nonrecognition transaction (see instructions) ► SECTION 351<br>Indicate whether any transfer reported in Part III is subject to any of the following.<br>Gain recognition under section 904(f)(3)<br>Gain recognition under section 904(f)(5)(F)<br>Recapture under section 1503(d)  |  | X No                                 |
| 16<br>17<br>18<br>b<br>c                    | Enter the transferor's interest in the transferee foreign corporation before and after the transfer.<br>(a) Before <u>0.000</u> % (b) After <u>0.032</u> %<br>Type of nonrecognition transaction (see instructions) ► SECTION 351<br>Indicate whether any transfer reported in Part III is subject to any of the following.<br>Gain recognition under section 904(f)(3)<br>Gain recognition under section 904(f)(5)(F)<br>Recapture under section 1503(d)<br>Exchange gain under section 987   | Yes<br>Yes<br>Yes                                    | X No<br>X No                         |
| 16<br>17<br>18<br>b<br>c<br>19              | Enter the transferor's interest in the transferee foreign corporation before and after the transfer.<br>(a) Before <u>0.000</u> % (b) After <u>0.032</u> %<br>Type of nonrecognition transaction (see instructions) ► SECTION 351<br>Indicate whether any transfer reported in Part III is subject to any of the following.<br>Gain recognition under section 904(f)(3)<br>Gain recognition under section 904(f)(5)(F)<br>Recapture under section 1503(d)  |  | X No<br>X No<br>X No                 |
| 16<br>17<br>18<br>b<br>c<br>19              | Enter the transferor's interest in the transferee foreign corporation before and after the transfer.<br>(a) Before <u>0.000</u> % (b) After <u>0.032</u> %<br>Type of nonrecognition transaction (see instructions) ► SECTION 351<br>Indicate whether any transfer reported in Part III is subject to any of the following.<br>Gain recognition under section 904(f)(3)<br>Gain recognition under section 904(f)(5)(F)<br>Recapture under section 1503(d)<br>Exchange gain under section 987<br>Did this transfer result from a change in entity classification?   |  | X No<br>X No<br>X No<br>X No         |
| 16<br>17<br>18<br>b<br>c<br>d<br>19<br>20 a | Enter the transferor's interest in the transferee foreign corporation before and after the transfer.<br>(a) Before <u>0.000</u> % (b) After <u>0.032</u> %<br>Type of nonrecognition transaction (see instructions) ► SECTION 351<br>Indicate whether any transfer reported in Part III is subject to any of the following.<br>Gain recognition under section 904(f)(3)<br>Gain recognition under section 904(f)(5)(F)<br>Recapture under section 1503(d)<br>Exchange gain under section 987<br>Did this transfer result from a change in entity classification?<br>Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions)<br>If "Yes," complete lines 20b and 20c.   |  | X No<br>X No<br>X No<br>X No         |
| 16<br>17<br>18<br>b<br>c<br>d<br>19<br>20 a | Enter the transferor's interest in the transferee foreign corporation before and after the transfer.<br>(a) Before <u>0.000</u> % (b) After <u>0.032</u> %<br>Type of nonrecognition transaction (see instructions) ► SECTION 351<br>Indicate whether any transfer reported in Part III is subject to any of the following.<br>Gain recognition under section 904(f)(3)<br>Gain recognition under section 904(f)(5)(F)<br>Recapture under section 1503(d)<br>Exchange gain under section 987<br>Did this transfer result from a change in entity classification?<br>Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions)  |  | X No<br>X No<br>X No<br>X No         |
| 16<br>17<br>18<br>b<br>c<br>d<br>19<br>20 a | Enter the transferor's interest in the transferee foreign corporation before and after the transfer.<br>(a) Before <u>0.000</u> % (b) After <u>0.032</u> %<br>Type of nonrecognition transaction (see instructions) ► SECTION 351<br>Indicate whether any transfer reported in Part III is subject to any of the following.<br>Gain recognition under section 904(f)(3)<br>Gain recognition under section 904(f)(5)(F)<br>Recapture under section 1503(d)<br>Exchange gain under section 987<br>Did this transfer result from a change in entity classification?<br>Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions)<br>If "Yes," complete lines 20b and 20c.<br>Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b)  | Yes<br>Yes<br>Yes<br>Yes<br>Yes<br>Yes<br>Yes<br>Yes | X No<br>X No<br>X No<br>X No         |
| 16<br>17<br>18<br>b<br>c<br>d<br>19<br>20 a | Enter the transferor's interest in the transferee foreign corporation before and after the transfer.<br>(a) Before <u>0.000</u> % (b) After <u>0.032</u> %<br>Type of nonrecognition transaction (see instructions) ► SECTION 351<br>Indicate whether any transfer reported in Part III is subject to any of the following.<br>Gain recognition under section 904(f)(3)<br>Gain recognition under section 904(f)(5)(F)<br>Recapture under section 1503(d)<br>Exchange gain under section 987<br>Did this transfer result from a change in entity classification?<br>Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions)<br>If "Yes," complete lines 20b and 20c.<br>Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b)<br>Did the domestic corporation not recognize gain or loss on the distribution of property because the | Yes<br>Yes<br>Yes<br>Yes<br>Yes<br>Yes<br>Yes<br>Yes | X No<br>X No<br>X No<br>X No<br>X No |

Form 926 (Rev. 11-2018)

12281110 798870 4700

# Return by a U.S. Transferor of Property **to a Foreign Corporation** Go to www.irs.gov/Form926 for instructions and the latest information.

OMB No. 1545-0026

Attachment Sequence No. **128** 

| Internal Revenue Service Attach to your income tax return for the year of the transfer or d                | listribution.    | Sequence           | No. <b>128</b>                        |
|--|------------------|--------------------|---------------------------------------|
| Part I U.S. Transferor Information (see instructions)  |                  |                    |                                       |
| Name of transferor   |                  | Identifying numb   | er (see instructions)                 |
| CARNEGIE HERO FUND COMMISSION  |                  |                    | , , , , , , , , , , , , , , , , , , , |
|  |                  | 25-1062            | 730                                   |
| 1 Is the transferee a specified 10%-owned foreign corporation that is not a controlled foreign corpor      | ration?          | Yes                | X No                                  |
| 2 If the transferor was a corporation, complete questions 2a through 2d.                                   |                  |                    |                                       |
| a If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368   | B(c)) by         |                    |                                       |
| five or fewer domestic corporations?   |                  | Yes                | X No                                  |
| <b>b</b> Did the transferor remain in existence after the transfer?  |                  |                    |                                       |
| If not, list the controlling shareholder(s) and their identifying number(s).                               |                  |                    |                                       |
|  |                  |                    |                                       |
| Controlling shareholder  | Iden             | tifying number     |                                       |
|  |                  |                    |                                       |
|  |                  |                    |                                       |
|  |                  |                    |                                       |
|  |                  |                    |                                       |
|  |                  |                    |                                       |
|  |                  |                    |                                       |
|  |                  |                    |                                       |
|  |                  |                    |                                       |
|  |                  |                    |                                       |
|  |                  |                    |                                       |
|  |                  |                    |                                       |
|  |                  |                    |                                       |
|  | a a wati a wati  | X Yes              |                                       |
| c If the transferor was a member of an affiliated group filing a consolidated return, was it the parent    | corporation?     |                    | └── No                                |
| If not, list the name and employer identification number (EIN) of the parent corporation.                  |                  |                    |                                       |
| Name of parent corporation   | EIN of p         | oarent corporati   | on                                    |
|  |                  |                    |                                       |
|  |                  |                    |                                       |
| d Have basis adjustments under section 367(a)(4) been made?  |                  | Yes                | X No                                  |
| a Have basis adjustments under section 307(a)(4) been made?  |                  |                    |                                       |
|  |                  | 007)               |                                       |
| 3 If the transferor was a partner in a partnership that was the actual transferor (but is not treated as   | such under secti | on 367),           |                                       |
| complete questions 3a through 3d.  |                  |                    |                                       |
| <b>a</b> List the name and EIN of the transferor's partnership.  |                  |                    |                                       |
| Name of partnership  | EIN              | of partnership     |                                       |
|  |                  |                    |                                       |
| THE TIFF KEYSTONE FUND LP  |                  |                    |                                       |
|  |                  | No.                | X No                                  |
| <b>b</b> Did the partner pick up its pro rata share of gain on the transfer of partnership assets?         |                  |                    |                                       |
| c Is the partner disposing of its entire interest in the partnership?                                      |                  | Ves                |                                       |
| d Is the partner disposing of an interest in a limited partnership that is regularly traded on an establis | shed             |                    | <b>v</b> .                            |
| securities market?   |                  | Ves                | X No                                  |
| Part II Transferee Foreign Corporation Information (see instructions)                                      |                  |                    |                                       |
| 4 Name of transferee (foreign corporation)   | 5a lo            | dentifying numb    | <b>er</b> , if any                    |
|  |                  |                    |                                       |
| STONEHILL OFFSHORE PARTNERS LTD  |                  |                    |                                       |
| 6 Address (including country)  | <b>5</b> b R     | eference ID num    | ber                                   |
| 89 NEXUS WAY, CAMANA BAY   |                  |                    |                                       |
| GRAND CAYMAN, KY1-1205 CAYMAN ISLANDS  | T.T.             | FFKEYSTO           | NE:                                   |
| 7 Country code of country of incorporation or organization   |                  |                    |                                       |
| CJ   |                  |                    |                                       |
| 8 Foreign law characterization (see instructions)  |                  |                    |                                       |
| CAYMAN EXEMPTED COMPANY  |                  |                    |                                       |
| 9 Is the transferee foreign corporation a controlled foreign corporation?                                  |                  | Ves                | XNo                                   |
| 924531 04-01-19 LHA For Paperwork Reduction Act Notice, see separate instructions.                         |                  | Form <b>926</b> (I | Rev. 11-2018                          |
| 46   |                  |                    |                                       |

2019.04030 CARNEGIE HERO FUND COMMISSI 4700\_\_\_1

| Part III   | Informatio    | on Regarding | Transfe | r of Pro | <b>perty</b> (see instr | uctions) |
|------------|---------------|--------------|---------|----------|-------------------------|----------|
| Form 926 ( | Rev. 11-2018) | CARNEGIE     | HERO    | FUND     | COMMISSIC               | N        |

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Section A - Cash

| ooolion // ouon  |                                   |  |  |                                      |  |
|------------------|-----------------------------------|--|--|--------------------------------------|--|
| Type of property | <b>(a)</b><br>Date of<br>transfer | <b>(b)</b><br>Description of<br>property | <b>(c)</b><br>Fair market value on<br>date of transfer | <b>(d)</b><br>Cost or other<br>basis | <b>(e)</b><br>Gain recognized on<br>transfer |
| Cash             | 10/01/2019                        |  | 194,659.   |                                      |  |

10 Was cash the only property transferred? X Yes

If "Yes," skip the remainder of Part III and go to Part IV.

# Section B - Other Property (other than intangible property subject to section 367(d))

| Type of<br>property  | <b>(a)</b><br>Date of<br>transfer | <b>(b)</b><br>Description of<br>property | <b>(c)</b><br>Fair market value on<br>date of transfer | <b>(d)</b><br>Cost or other<br>basis | <b>(e)</b><br>Gain recognized on<br>transfer |
|----------------------|-----------------------------------|--|--|--------------------------------------|--|
| Stock and securities |                                   |  |  |                                      |  |
| Inventory            |                                   |  |  |                                      |  |
|                      |                                   |  |  |                                      |  |
| Other property       |                                   |  |  |                                      |  |
| (not listed under    |                                   |  |  |                                      |  |
| another category)    |                                   |  |  |                                      |  |
|                      |                                   |  |  |                                      |  |
|                      |                                   |  |  |                                      |  |
|                      |                                   |  |  |                                      |  |
| Property with        |                                   |  |  |                                      |  |
| built-in loss        |                                   |  |  |                                      |  |
| Totals               |                                   |  |  |                                      |  |

| 11   | Did the transferor transfer stock or securities subject to section 367(a) with respect to which a gain recognition agreement was filed? | Yes |       |
|------|---|-----|-------|
| 12 a | <b>o o</b>  | Yes | No No |
|      | If "Yes," go to line 12b.   |     |       |
| b    | Was the transferor a domestic corporation that transferred substantially all of the assets of a foreign branch                          |     |       |
|      | (including a branch that is a foreign disregarded entity) to a specified 10% -owned foreign corporation?                                | Yes | No No |
|      | If "Yes," continue to line 12c. If "No," skip lines 12c and 12d, and go to line 13.   |     |       |
| С    | Immediately after the transfer, was the domestic corporation a U.S. shareholder with respect to the                                     |     |       |
|      | transferee foreign corporation?   | Yes | No No |
|      | If "Yes," continue to line 12d. If "No," skip line 12d, and go to line 13.  |     |       |
| d    | Enter the transferred loss amount included in gross income as required under section 91 \$  |     |       |
| 13   | Did the transferor transfer property described in section 367(d)(4)?  | Yes | No No |
|      | If "No," skip Section C and questions 14a through 15.   |     |       |

# Section C - Intangible Property Subject to Section 367(d)

| Type of<br>property | <b>(a)</b><br>Date of<br>transfer | <b>(b)</b><br>Description of<br>property | <b>(c)</b><br>Useful<br>life | <b>(d)</b><br>Arm's length price<br>on date of transfer | <b>(e)</b><br>Cost or other<br>basis | <b>(f)</b><br>Income inclusion for<br>year of transfer |
|---------------------|-----------------------------------|--|------------------------------|---|--------------------------------------|--|
|                     |                                   |  |                              |   |                                      |  |
|                     |                                   |  |                              |   |                                      |  |
|                     |                                   |  |                              |   |                                      |  |
| Property described  |                                   |  |                              |   |                                      |  |
| in sec. 367(d)(4)   |                                   |  |                              |   |                                      |  |
|                     |                                   |  |                              |   |                                      |  |
|                     |                                   |  |                              |   |                                      |  |
|                     |                                   |  |                              |   |                                      |  |
|                     |                                   |  |                              |   |                                      |  |
| Totals              |                                   |  |                              |   |                                      |  |

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| Form | 926 (Rev. 11-2018) CARNEGIE HERO FUND COMMISSION   | 25-1062730 | Page 3       |
|------|--|------------|--------------|
| 14 a | Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life reasonably anticipated to exceed 20 years?    | Yes        | No           |
| b    | At the time of the transfer, did any of the transferred intangible property have an indefinite useful life?  | Yes        | No No        |
| с    | Did the transferor choose to apply the 20-year inclusion period provided under Regulations section 1.367(d)-1(c)(3)(ii) for any intangible property?   | Yes        | 🗌 No         |
| d    | If the answer to line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable  |            |              |
|      | to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in   |            |              |
|      | Regulations section 1.367(d)-1(c)(3)(ii) ▶ \$  |            |              |
| 15   | Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any   |            |              |
|      | time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?  | Yes        | No No        |
|      |  |            |              |
| Sup  | plemental Part III Information Required To Be Reported (see instructions)  |            |              |
|      |  |            |              |
|      |  |            |              |
|      |  |            |              |
|      |  |            |              |
|      |  |            |              |
|      |  |            |              |
|      |  |            |              |
|      |  |            |              |
|      |  |            |              |
|      |  |            |              |
|      |  |            |              |
| Pa   | rt IV Additional Information Regarding Transfer of Property (see instructions)   |            |              |
|      |  |            |              |
| 16   | Enter the transferor's interest in the transferee foreign corporation before and after the transfer.   |            |              |
|      | (a) Before % (b) After %   |            |              |
| 17   | Type of nonrecognition transaction (see instructions) <b>SECTION</b> 351   |            |              |
|      |  |            |              |
| 18   | Indicate whether any transfer reported in Part III is subject to any of the following.   |            | <b>v</b>     |
| а    | Gain recognition under section 904(f)(3)   |            | X No         |
| b    | Gain recognition under section 904(f)(5)(F)  |            | X No         |
| с    | Recapture under section 1503(d)  |            | X No         |
| d    | Exchange gain under section 987  | Yes        | X No         |
| 19   | Did this transfer result from a change in entity classification?   |            | X No         |
| 20 a | Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions)  |            | X No         |
|      | If "Yes," complete lines 20b and 20c.  |            |              |
| b    | Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b)  | ►\$        |              |
|      | Did the domestic corporation not recognize gain or loss on the distribution of property because the  |            |              |
| C    | property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)?   | Yes        | <u> </u>     |
| 04   | property was used in the conduct of 0.5. trade of pusifiess under Regulations section 1.507(e)-2(b)(2)?  |            |              |
| 21   |  |            | └── No       |
|      | Did a domestic corporation make a section 355 distribution of stock in a foreign controlled corporation covered by section 367(e)(1)? See instructions | Yes        | No<br>□X□ No |

Form 926 (Rev. 11-2018)

924533 04-01-19

| FORM 990-PF  | DIVIDENDS           | AND INTER                    | EST      | FROM SECU                     | RITIES S'                             | FATEMENT 1                    |
|--|---------------------|------------------------------|----------|-------------------------------|---------------------------------------|-------------------------------|
| SOURCE   | GROSS<br>AMOUNT     | CAPITAL<br>GAINS<br>DIVIDEND |          | (A)<br>REVENUE<br>PER BOOKS   | (B)<br>NET INVEST-<br>MENT INCOME     | (C)<br>ADJUSTED<br>NET INCOME |
| MUTUAL FUNDS<br>TIFF KEYSTONE FUND   | 14,715.<br>289,252. |                              | 0.<br>0. | 14,715<br>289,252             |                                       | 14,715.<br>289,252.           |
| TO PART I, LINE 4  | 303,967.            |                              | 0.       | 303,967                       | . 411,558.                            | 303,967.                      |
| FORM 990-PF  |                     | OTHER I                      | NCOL     | ME                            | S                                     | FATEMENT 2                    |
| DESCRIPTION  |                     |                              | REV      |                               | (B)<br>NET INVEST-<br>MENT INCOME     | (C)<br>ADJUSTED<br>NET INCOME |
| ROYALTY INCOME FROM PARTNERSHIPS<br>FOREIGN CURRENCY GAIN(LOSS) FROM<br>PARTNERSHIPS<br>OTHER INCOME FROM PARTNERSHIPS<br>MISCELLANEOUS INCOME |                     |                              |          | 0.<br>0.<br>369,123.<br>99.   | 3,309.<br>-59,220.<br>518,241.<br>99. | 0.<br>0.<br>369,123.<br>99.   |
| TOTAL TO FORM 990-PF   | , PART I, 1         | LINE 11                      |          | 369,222.                      | 462,429.                              | 369,222.                      |
| FORM 990-PF  |                     | LEGAL                        | FEI      | ES                            | S                                     | TATEMENT 3                    |
| DESCRIPTION  |                     | (A)<br>EXPENSES<br>PER BOOKS |          | (B)<br>F INVEST-<br>NT INCOME | (C)<br>ADJUSTED<br>NET INCOME         | (D)<br>CHARITABLE<br>PURPOSES |
| LEGAL/ACTUARY  |                     | 4,273.                       |          | 256.                          | 256.                                  | 4,017.                        |
| TO FM 990-PF, PG 1,  | LN 16A              | 4,273.                       |          | 256.                          | 256.                                  | 4,017.                        |

\_\_\_\_\_

25-1062730

| FORM 990-PF  | ACCOUNTI                     | NG FEES                           | STATEMENT 4                   |                               |  |
|--|------------------------------|-----------------------------------|-------------------------------|-------------------------------|--|
| DESCRIPTION  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |  |
| ACCOUNTING   | 70,125.                      | 6,562.                            | 6,562.                        | 63,563.                       |  |
| <br>TO FORM 990-PF, PG 1, LN 16B<br>=                          | 70,125.                      | 6,562.                            | 6,562.                        | 63,563.                       |  |
| FORM 990-PF C  | THER PROFES                  | SIONAL FEES                       | SI                            | ratement 5                    |  |
| DESCRIPTION  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |  |
| OUTSIDE SERVICES   | 8,678.                       | 0.                                | 0.                            | 8,678.                        |  |
| <br>TO FORM 990-PF, PG 1, LN 16C<br>=                          | 8,678.                       | 0.                                | 0.                            | 8,678.                        |  |
| FORM 990-PF  | ТАХ                          | ES                                | STATEMENT (                   |                               |  |
| DESCRIPTION  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |  |
| PAYROLL TAXES<br>FOREIGN TAXES PAID/ACCRUED                    | 46,141.<br>0.                | 1,111.<br>10,424.                 | 1,111.<br>10,424.             | 45,030.<br>0.                 |  |
| TO FORM 990-PF, PG 1, LN 18 =                                  | 46,141.                      | 11,535.                           | 11,535.                       | 45,030.                       |  |
| FORM 990-PF  | OTHER EXPENSES               |                                   | SI                            | ratement 7                    |  |
| DESCRIPTION  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |  |
| DEDCIGITITION  |                              | 105                               | 185.                          | 18,361.                       |  |
| FEES<br>UNFUNDED RETIREMENT BENEFITS<br>CASE REPORTS/NEWSPAPER | 18,546.<br>14,903.           | 185.<br>0.                        | 0.                            | 14,903.                       |  |

 20
 STATEMENT(S) 4, 5, 6, 7

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## CARNEGIE HERO FUND COMMISSION

|                             | -        |          |          |          |
|-----------------------------|----------|----------|----------|----------|
| SUPPLIES                    | 8,093.   | 0.       | 0.       | 8,093.   |
| EQUIPMENT                   | 14,919.  | 0.       | 0.       | 14,919.  |
| OUTREACH PROJECTS           | 3,122.   | 0.       | 0.       | 3,122.   |
| MISCELLANEOUS               | 2,138.   | 0.       | 0.       | 2,138.   |
| PORTFOLIO DEDUCTIONS FROM   |          |          |          |          |
| PARTNERSHIPS                | Ο.       | 695,025. | 695,025. | 0.       |
| REPAIRS AND MAINTENANCE     | 95.      | 0.       | 0.       | 95.      |
| STORAGE                     | 9,999.   | 0.       | 0.       | 9,999.   |
| GRANT EXPENSES              | 9,897.   | 0.       | 0.       | 9,897.   |
| MEDAL PRESENTATIONS         | 3,163.   | 0.       | 0.       | 3,163.   |
| IT HARDWARE/SOFTWARE        | 12,378.  | 0.       | 0.       | 12,378.  |
| TO FORM 990-PF, PG 1, LN 23 | 139,931. | 695,366. | 695,366. | 139,590. |
|                             |          |          |          |          |

FORM 990-PF

CORPORATE STOCK

STATEMENT 8

46,348,148.

46,348,148.

| DESCRIPTION  |                     | BOOK VALUE                          | FAIR MARKET<br>VALUE                |
|--|---------------------|-------------------------------------|-------------------------------------|
| TIFF MULTI-ASSET FUND<br>TIFF SHORT TERM FUND<br>TIFF SHORT TERM FUND - CCNY | -                   | 4,397,351.<br>1,066,526.<br>88,197. | 4,397,351.<br>1,066,526.<br>88,197. |
| TOTAL TO FORM 990-PF, PART II,   | LINE 10B =          | 5,552,074.                          | 5,552,074.                          |
| FORM 990-PF  | OTHER INVESTMENTS   |                                     | STATEMENT 9                         |
| DESCRIPTION  | VALUATION<br>METHOD | BOOK VALUE                          | FAIR MARKET<br>VALUE                |

TIFF KEYSTONE FUND, LP FMV TOTAL TO FORM 990-PF, PART II, LINE 13 46,348,148.

46,348,148.

## 25-1062730

#### FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 10 TRUSTEES AND FOUNDATION MANAGERS EMPLOYEE TITLE AND COMPEN- BEN PLAN EXPENSE AVRG HRS/WK SATION CONTRIB ACCOUNT NAME AND ADDRESS MARK J. LASKOW CHAIR 4,110. 0. 436 SEVENTH AVENUE 0.26 0. PITTSBURGH, PA 15219 PRISCILLA J. MCCRADY TRUSTEE 436 SEVENTH AVENUE 0.19 0. 0. 0. PITTSBURGH, PA 15219 THOMAS L. WENTLING JR. TRUSTEE 0. 436 SEVENTH AVENUE 0.19 0. 0. PITTSBURGH, PA 15219 DAN D. SANDMAN TREASURER Ο. 436 SEVENTH AVENUE 0.26 0. 0. PITTSBURGH, PA 15219 ALBERT H. BURCHFIELD III TRUSTEE 436 SEVENTH AVENUE 0. 0. 0. 0.19 PITTSBURGH, PA 15219 NANCY L. RACKOFF VICE CHAIR 436 SEVENTH AVENUE 0. 0.26 0. 0. PITTSBURGH, PA 15219 ANN M. MCGUINN TRUSTEE 436 SEVENTH AVENUE 0. 0. 0. 0.19 PITTSBURGH, PA 15219 FRANK BROOKS ROBINSON TRUSTEE 436 SEVENTH AVENUE 0.19 0. 0. 0. PITTSBURGH, PA 15219 ROBERT J. CINDRICH TRUSTEE 436 SEVENTH AVENUE 0. 0. 0. 0.19 PITTSBURGH, PA 15219 DAVID MCL. HILLMAN TRUSTEE 436 SEVENTH AVENUE 0.19 0. 0. 0. PITTSBURGH, PA 15219 SYBIL P. VEEDER, PHD. TRUSTEE 0. 0.19 0. 0.

436 SEVENTH AVENUE PITTSBURGH, PA 15219

> 22 STATEMENT(S) 10 2019.04030 CARNEGIE HERO FUND COMMISSI 4700 1

13181110 798870 4700

| CARNEGIE HERO FUND COMMISSION  |                       |          | 25      | -1062730 |
|--|-----------------------|----------|---------|----------|
| LINDA T. HILLS<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219         | TRUSTEE<br>0.19       | 0.       | 0.      | 0.       |
| ERIC P. ZAHREN<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219         | PRESIDENT/SE<br>40.00 |          | 39,625. | 0.       |
| CHRISTOPHER MCCRADY<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219    | TRUSTEE<br>0.19       | 0.       | 0.      | 0.       |
| PETER J. LAMBROU, M.D.<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219 | TRUSTEE<br>0.19       | 0.       | 0.      | 0.       |
| ARTHUR M. SCULLY III<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219   | TRUSTEE<br>0.19       | 0.       | 0.      | 0.       |
| SUSANNE C. WEAN<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219        | TRUSTEE<br>0.19       | 0.       | 0.      | 0.       |
| JOSEPH C. WALTON<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219       | TRUSTEE<br>0.19       | 0.       | 0.      | 0.       |
| EVAN S. FRAZIER<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219        | TRUSTEE<br>0.19       | 0.       | 0.      | 0.       |
| NATHALIE LEMIEUX<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219       | TRUSTEE<br>0.19       | 0.       | 0.      | 0.       |
| MICHAEL A. THOMPSON<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219    | TRUSTEE<br>0.19       | 0.       | 0.      | 0.       |
| TOTALS INCLUDED ON 990-PF, PAGE 6                                    | , PART VIII           | 149,312. | 39,625. | 0.       |

#### FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 11

ACTIVITY TWO

HEROIC RECOGNITION AWARDS, WHICH ARE GRANTS PAID TO THE YEAR'S AWARDEES OR THEIR SURVIVORS IN RECOGNITION OF OUTSTANDING ACTS OF HEROISM. FUNERAL BENEFITS PAID ON BEHALF OF HEROES WHO DIED PERFORMING HEROIC ACTS. TOTAL 76 RECIPIENTS.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 2

389,783.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 12 PART XV, LINES 2A THROUGH 2D

#### NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JEFFREY A. DOOLEY, INVESTIGATIONS MANAGER 436 SEVENTH AVENUE, SUITE 1101 PITTSBURGH, PA 15219

#### TELEPHONE NUMBER

412-281-1302

#### FORM AND CONTENT OF APPLICATIONS

A LETTER PROVIDING DATE, TIME AND PLACE OF HEROIC ACTION, AND ADDRESS OF HERO AND WITNESS.

#### ANY SUBMISSION DEADLINES

WITHIN TWO YEARS OF THE DATE OF THE HEROIC ACT

#### RESTRICTIONS AND LIMITATIONS ON AWARDS

THE COMMISSION STRIVES TO BE FAIR AND CONSISTENT IN THE ASSESSMENT OF EACH CASE, JUDGING IT ON ITS OWN MERITS AGAINST CERTAIN CRITERIA. THE COMMISSION DOES NOT DISCRIMINATE ON THE BASIS OF RACE, SEX, CREED, OR NATIONAL ORIGIN. THERE MUST BE CONCLUSIVE EVIDENCE TO SUPPORT THE THREAT TO THE VICTIM'S LIFE, THE RESCUER'S DEGREE OF RESPONSIBILITY, AND THE ACT'S OCCURRENCE. THE ACT MUST BE PERFORMED IN ONE OF THE 50 AMERICAN STATES, THE DISTRICT OF COLUMBIA, OR 12 CANADIAN PROVINCES AND TERRITORIES OR THE WATERS THEREOF (12 NAUTICAL MILES). THE ACT MUST BE BROUGHT TO THE ATTENTION OF THE COMMISSION WITHIN TWO YEARS OF THE DATE OF ITS OCCURRENCE. MEMBERS OF THE MILITARY ARE INELIGIBLE. "MILITARY" INCLUDES COAST GUARD AND MEMBERS OF THE NATIONAL GUARD AND RESERVES WHO HAVE BEEN ACTIVATED FOR TRAINING OR OTHERWISE, ARE ON ANNUAL TRAINING OR OTHERWISE ARE IN UNIFORM UNDER

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 13 PART XV, LINES 2A - 2D (CONTINUATION)

#### RESTRICTIONS AND LIMITATIONS ON AWARDS

MILITARY CONTROL.

| Recipient Name                           | Address  | Relationship | Foundation Status | Purpose of Grant                              | Amount   |
|--|--|--------------|-------------------|---|----------|
| Malcolm R. Aspeslet                      | 21978 46th Avenue Langley, BC V3A 3J6 CANADA                       | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 700.00   |
| Mamie M. Bailey                          | P.O. Box 115 Charles Town, WV 25414-0115                           | NONE         | I.                | Grants to Indigent Families/Disabled Rescuers | 1,950.00 |
| Sharon Jo Baker                          | P.O. Box 276 Boulder, MT 59632-0276                                | NONE         | I.                | Grants to Indigent Families/Disabled Rescuers | 6,650.00 |
| Roy J. Bartlett                          | 824 S. Michigan Avenue Wellston, OH 45692-2130                     | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 2,600.00 |
| Margaret L. Beam                         | 39 Plum Street Griffin, GA 30223-1830                              | NONE         | I.                | Grants to Indigent Families/Disabled Rescuers | 6,370.00 |
| Debra J. Blare                           | 430 Webster Court Melbourne, FL 32934-8021                         | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 6,500.00 |
| Leora Blue-Ringseth                      | 2224 Juliesse Avenue Sacramento, CA 95821-4629                     | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 5,200.00 |
| Melanie K. Brindley                      | 1235 Salem Road Conway, AR 72034                                   | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 4,550.00 |
| Julie K. Callaghan                       | 5-10082 Williams Road Chilliwack, BC V2P 5H2 CANADA                | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 500.00   |
| Esminda Campuzano                        | P.O. Box 1004 Warm Springs, OR 97761                               | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 4,550.00 |
| Teresa E. Carpenter                      | 2233 Dana Street Toledo, OH 43609-1629                             | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 5,825.00 |
| Son Ngoc Chau/Ngoc Anh Doan              | 1143 Piikoi Place Honolulu, HI 96822-4016                          | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 4,290.00 |
| Jung Hwa Choi                            | 1361 Twin Trees Lane Sanford, FL 32771-7272                        | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 5,800.00 |
| Joyce E. Clevenger                       | c/o Larry Clevenger 3126 Puncheon Creek Sitka, KY 41255            | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 5,200.00 |
| Donna Cortese-Munday                     | 77 Des Louveteaux Gatineau, QC J9J 0J4 CANADA                      | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 7,225.00 |
| Sondra Cowart                            | 1906 West Shields Drive Sherman, TX 75092                          | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 3,500.00 |
| Adriana Crisantos                        | 11111 Dallas Avenue Garden Grove, CA 92840                         | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 6,500.00 |
| Erica Davis                              | 1676 Liberty Drive Akron, OH 44816-6344                            | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 3,650.00 |
| Mary N. Diaz                             | 521 Rosebriar Court Greensboro, NC 27407-1307                      | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 6,300.00 |
| Dawn C. Dickinson                        | 2728 116th Street, SE Everett, WA 98208-6038                       | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 5,975.00 |
| Dawn Geddis                              | 2050 NW 61st Avenue Hollywood, FL 33024-4204                       | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 7,150.00 |
| Teresa F. Gray                           | 4173 Bellville Drive Lincoln, NE 68521-1525                        | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 6,300.00 |
| Floridalma Halyard                       | 8026 Felix Avenue Bellgardens, CA 90201                            | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 8,250.00 |
| Barbara Hansen                           | 6643 West Boulevard Boardman, OH 44512-3240                        | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 6,175.00 |
| Rosemary K. Heath                        | 50 Terrianne Drive Taunton. MA 02780-9922                          | NONE         | i i               | Grants to Indigent Families/Disabled Rescuers | 3,600.00 |
| George D. Hemphill                       | 3784 Cove Road Union Mills, NC 28167-9801                          | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 555.00   |
|  |  | NONE         |                   | Grants to Indigent Families/Disabled Rescuers |          |
| Frank L. Hubbard<br>Demeriuse D. Johnson | 15894 Highway 421 South Sanford, NC 27330                          | NONE         |                   | Grants to Indigent Families/Disabled Rescuers | 4,450.00 |
|  | 112 Keystone Street, Buffalo, NY 14211                             | NONE         |                   | Grants to Indigent Families/Disabled Rescuers | 2,166.71 |
| Demetrius T. Johnson Jr.                 | 112 Keystone Street, Buffalo, NY 14211                             | NONE         |                   | Grants to Indigent Families/Disabled Rescuers | 2,166.71 |
| Heaven T. Johnson                        | 112 Keystone Street, Buffalo, NY 14211                             | NONE         |                   | Grants to Indigent Families/Disabled Rescuers | 2,166.58 |
| Julie Ann Kilby                          | P.O. Box 293 Quarryville, PA 17566                                 | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 7,150.00 |
| Frances D. Knaggs                        | 1524 Long Pond Drive Valrico, FL 33594-4422                        | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 5,395.00 |
| Lillian T. LaRue                         | 156 Cardinal Road Mayfield, KY 42066-6771                          | NONE         | 1                 | -   | 5,850.00 |
| Azelia A. Leftridge                      | 5631 Lancaster Street, Apt. 3 Harrisburg, PA 17111                 |              | 1                 | Grants to Indigent Families/Disabled Rescuers | 5,850.00 |
| Daisy Martinez                           | 341 E. 56th Street Hialeah, FL 33013-1231                          | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 6,075.00 |
| Dennis R. McGee                          | 122 Housley Avenue Greeneville, TN 37745-3425                      | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 6,240.00 |
| Betty E. Merkel                          | 149 Movilla Drive Berlin, PA 15530-9493                            | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 5,850.00 |
| Morlan O'Bryan                           | 6017 Ambassador Drive Orlando, FL 32808-5401                       | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 7,675.00 |
| Ambrielle Kay Pitzulo                    | 8335 W. Lebaron Avenue, Citronelle, AL 36522-4900                  | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 3,200.00 |
| Pamela G. Pritchard                      | 608 48th Street, Apt. 1 Des Moines, IA 50312-1932                  | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 5,200.00 |
| Michelle Radke                           | 4616 Ledgewood Drive Medina, OH 44256-6908                         | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 3,900.00 |
| Suzanne L. Rice                          | 4619 N. Michigan Avenue, Apt. 238 Portland, OR 97217               | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 3,965.00 |
| Jan K. Ringeisen                         | 4643 Truman Lane Virginia Beach, VA 23455                          | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 4,925.00 |
| Valerie A. Shaw                          | 304 N. Kansas Ellsworth, KS 67439                                  | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 4,650.00 |
| Mary H. Shelby                           | P.O. Box 427 Jenkins, KY 41537-0427                                | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 3,900.00 |
| John C. Stubner                          | 2702 Discovery Drive Plainfield, IL 60586                          | NONE         | 1                 | Grants to Indigent Families/Disabled Rescuers | 2,600.00 |
| Victoria Thanos                          | 507 Glade Place Valparaiso, IN 46383                               | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 3,250.00 |
| Betty J. Troxler                         | 312 Pleasant Hill Church Road Ellerbe, NC 28338                    | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 5,525.00 |
| Brenda J. Watts                          | 214 Max Street Prichard, AL 36610-3860                             | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 5,825.00 |
| Delois B. Williams                       | 17C Oyster Bay Road Abescon, NJ 08201                              | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 3,875.00 |
| Gladys M. Willis                         | 2701 Aldersgate Road, Apt. 730 Little Rock, AR 72205               | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 2,000.00 |
| Laura E. Wood                            | for Joseph J. Wood 1343 Cherry Hill Lane Lewisville, TX 75067-3308 | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 2,625.00 |
| Stacy Zaelit                             | 158 North 600 West, #224 Salt Lake City, UT 84116-3728             | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 6,500.00 |

| Recipient Name                     | Address   | Relationship | Foundation Status |   | Amount        |
|------------------------------------|---|--------------|-------------------|---|---------------|
| Mary Ann Zilko                     | 3401 Lynnwood Drive West Homestead, PA 15120-1338                                   | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers       | 3,900.00      |
| eturned Checks - Unknown Recipient | n/a   | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers       | (243.00)      |
|                                    |   |              |                   | Total Grants to Indigent Families/Disabled Rescuers | \$ 252,497.00 |
| Samantha Barnhouse                 | 2332 Meredith Way Antioch, CA 94509-5756  | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| Sharon L. Boles                    | 468 Will Road Eagle Springs, NC 27242-8112  | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| lennifer Dixon                     | 343 S. Catherine Street Middletown, PA 17057-1319                                   | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| David Duncan                       | 33 Breakwater Shores Road Hyannis, MA 02601-4804                                    | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| Brent Edwards                      | 1049 Flanders Road Philadelphia, PA 19151-3013                                      | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| larcus L. Eliason                  | 2 Pine Ridge Drive Flagstaff, AZ 86005-9602   | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| Every Coast Helicopter Operations  | 1703 S Pifer Road Star Tannery, VA 22654  | NONE         | NC                | Heroic Recognition Awards                           | 5,000.00      |
| Cregg Jerri                        | 5234 North Briarwood Avenue Fresno, CA 93711-2703                                   | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| Eric Martin                        | 420 Old Mill Road Ohiopyle, PA 15470-1308   | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| Patrick McCarty                    | 4 Sherman Street Ohiopyle, PA 15470   | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| lohn Prokop                        | 2692 James Street Duncan, BC V9L 2X6  | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| Svetlana S. Reut                   | 380 Big Game Way Loganville, GA 30052-2698  | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| Eugene Stamsta                     | 153 McDonald Street Oconto, WI 54153-1125   | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| Aark Tuura                         | 216 Princess Street New Westminster, BC V3L 1V5                                     | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| loshua Wright                      | 152 Hemlock Drive Dahlonega, GA 30533-2949  | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| Aaron Young                        | 300 Stoner Drive Middletown, PA 17057-3455  | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| lay Muxworthy                      | 714 Cape Fear Boulevard Carolina Beach, NC 28428-4433                               | NONE         | I.                | Heroic Recognition Awards                           | 5,000.00      |
| mber Maynor                        | 504 Wolf Street Thomasville, GA 31792-4966  | NONE         | I.                | Heroic Recognition Awards                           | 5,000.00      |
| Doretha Williams                   | 5315 Warrington Avenue New Orleans, LA 70122-3401                                   | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| lames Wyatt                        | 2407 Diamond Place Clarksville, IN 47129-1005                                       | NONE         | I.                | Heroic Recognition Awards                           | 5,000.00      |
| /an U. Thang                       | 716 28th Avenue East Moline, IL 61244-3249  | NONE         | I.                | Heroic Recognition Awards                           | 5,000.00      |
| Arcangelo F. Liberatore            | 117 Fairfax Avenue Mt. Pleasant, NY 10532-2305                                      | NONE         | I.                | Heroic Recognition Awards                           | 5,000.00      |
| Kristian B. Harrison               | 524 Third Avenue Dayton, KY 41074-1007  | NONE         | I.                | Heroic Recognition Awards                           | 5,000.00      |
| Peter C. Di Pinto, Sr.             | 32 Central Woods Lane Brookhaven, NY 11719-9713                                     | NONE         | I.                | Heroic Recognition Awards                           | 5,000.00      |
| David J. Connelly                  | 4973 College Green Lane New Kent County, VA 23140-4463                              | NONE         | I.                | Heroic Recognition Awards                           | 5,000.00      |
| Kali Allen                         | 9375 South 259th East Avenue Broken Arrow, OK 74014-7816                            | NONE         | I.                | Heroic Recognition Awards                           | 5,000.00      |
| Gloria Williams                    | 960 North Cherry Street Galesburg, IL 61401-2736                                    | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| Vyatt Jordan                       | 188 Saw Mill Hill Road Sterling, CT 06377-1410                                      | NONE         | I.                | Heroic Recognition Awards                           | 5,000.00      |
| Aichael Jordan                     | 188 Saw Mill Hill Road Sterling, CT 06377-1410                                      | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| licholas Anderson                  | 2531 34th Avenue San Francisco, CA 94116-2802                                       | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| Calindo C. Fletcher, Sr.           | 162 Ranier Street Meridianville, AL 35759   | NONE         | I                 | Heroic Recognition Awards                           | 6,624.00      |
| Charles Hoop                       | 1230B Thousand Springs Grade Wendell, ID 83355-3201                                 | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| imonthy Hunley                     | 622 Elizabethan Court Wilmington, NC 28409-3115                                     | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| Dmar Lee                           | 5214 Oldshire Road Louisville, KY 40229-5217  | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| red Murphy                         | 616 Alston Street Foley, AL 36535-3506  | NONE         | 1                 | Heroic Recognition Awards                           | 5,000.00      |
| Christopher Schafer                | 18775 US Highway 81, PO Box 98 Hamlin County, SD 57241-5607                         | NONE         | 1                 | Heroic Recognition Awards                           | 5,000.00      |
| ami Wahler                         | 3711 Thruston Dermont Road Owensboro, KY 42303-2510                                 | NONE         | 1                 | Heroic Recognition Awards                           | 5,000.00      |
| licci Arnold                       | 6150 Rose Hill Road Whitewright, TX 75491-5383                                      | NONE         | 1                 | Heroic Recognition Awards                           | 2,500.00      |
| Robert Prochazka                   | 38441 Old Willoughby Drive Willoughby, OH 44094-8828                                | NONE         | 1                 | Heroic Recognition Awards                           | 5,000.00      |
| Christopher M. Olup                | c/o Willowick Police Department, 30435 Lakeshore Boulevard Willowick, OH 44095-4624 | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| an L. Anderson                     | 4207 Michigan Avenue Chattanooga, TN 37409-1349                                     | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| Villiam Bostic                     | 514 Meadow Lane King of Prussia, PA 19406-3737                                      | NONE         | I                 | Heroic Recognition Awards                           | 5,000.00      |
| ulie K. Callaghan                  | 5-10082 Williams Road Chilliwack, BC V2P 5H2  | NONE         | I.                | Heroic Recognition Awards                           | 11,659.00     |
| ames R. Carroll                    | 39 Frissell Terrace Middletown, CT 06457  | NONE         | I.                | Heroic Recognition Awards                           | 5,000.00      |
| ose L. Casanova                    | 16 Uncas Road New Britain. CT 06053-2430  | NONE         | 1                 | Heroic Recognition Awards                           | 5,000.00      |
| Aichael S. Chandler                | 5 Newport Court Stafford, VA 22554  | NONE         | 1                 | Heroic Recognition Awards                           | 5,000.00      |
| farvin Dixon                       | 5 Newport Court Stationa, VA 22554<br>5 Heather Court Cromwell, CT 06416-1837       | NONE         |                   | Heroic Recognition Awards                           | 5,000.00      |
| Kenneth R. Gooch, Sr.              | P.O. Box 65 Conetoe, NC 27819   | NONE         |                   | Heroic Recognition Awards                           | 5,000.00      |
|                                    |   | NONE         | 1                 | Heroic Recognition Awards                           |               |
| Andrea L. Harris                   | 523 30th Avenue NE Tuscaloosa, AL 35404-2105  | NONE         |                   | neroic Recognition Awarus                           | 5,000.00      |

| Recipient Name  | Address  | Relationship | Foundation Status | Purpose of Grant                             | Amount                 |
|---|--|--------------|-------------------|--|------------------------|
| C. Kemp Littlepage  | 224 Chester Road Devon, PA 19333-1627  | NONE         | I                 | Heroic Recognition Awards                    | 5,000.00               |
| Alva Martin   | P.O. Box 4474 Ventura, CA 93007  | NONE         | I.                | Heroic Recognition Awards                    | 5,000.00               |
| Ryan McIlwain   | 1239 Birchview Road Douro-Drummer, ON K0L 2H0  | NONE         | I.                | Heroic Recognition Awards                    | 5,000.00               |
| Angela McQueen  | 54 Springchester Mattoon, IL 61938   | NONE         | I.                | Heroic Recognition Awards                    | 5,000.00               |
| Perneice L. White   | P.O. Box 663 Gretna, VA 24557  | NONE         | I.                | Heroic Recognition Awards                    | 5,000.00               |
| Raul Carrillo   | 2807 North Forest Park Street Derby, KS 67037-7912   | NONE         | I.                | Heroic Recognition Awards                    | 5,000.00               |
| aylor White   | P.O. Box 330 New Harbour, NL A0B 2P0   | NONE         | I.                | Heroic Recognition Awards                    | 5,000.00               |
| lessica F. Strickland   | 1014 Wagstaff Lane Scottsburg, VA 24589-2588   | NONE         | I                 | Heroic Recognition Awards                    | 5,000.00               |
| Stephen Eberle  | 2 Old Dobbin Lane Ivoryton, CT 06442   | NONE         | I.                | Heroic Recognition Awards                    | 5,000.00               |
| Phillip H. DiLuca   | 717 Bellsqueeze Road Clinton, ME 04927-3771  | NONE         | I.                | Heroic Recognition Awards                    | 5,500.00               |
| Robert M. Hosey   | 2250 Black Forest Drive Borough of Coplay, PA 18037-2266   | NONE         | I                 | Heroic Recognition Awards                    | 5,500.00               |
| Richard Krochta   | 105 Denwood Drive Florissant, CO 80816-9271  | NONE         | I.                | Heroic Recognition Awards                    | 5,500.00               |
| odd D. Lyford   | 34 Center Street Brownville, ME 04414-3755   | NONE         | I.                | Heroic Recognition Awards                    | 5,500.00               |
| ason P. Maxwell   | 22437 SE 283rd Street Maple Valley, WA 98038-8105  | NONE         | I.                | Heroic Recognition Awards                    | 5,500.00               |
| Kote Mhitari  | 11374 South O'Henry Road Sandy, UT 84070-7000  | NONE         | I.                | Heroic Recognition Awards                    | 5,500.00               |
| Darren M. Smith   | 1727 A1A, Unit 203 City of Vero Beach, FL 32963-2265   | NONE         | I.                | Heroic Recognition Awards                    | 5,500.00               |
| eon Wright  | 11920 Cassiabark Court Orlando, FL 32837-6718  | NONE         | I.                | Heroic Recognition Awards                    | 5,500.00               |
| leather Zabrowski   | 6940 51st Lane Northwest City of Olympia, WA 98502-9257  | NONE         | I.                | Heroic Recognition Awards                    | 5,500.00               |
| /lichael Barkhouse  | 6997 Highway 215 Walton, NS B0N 2R0  | NONE         | I.                | Heroic Recognition Awards                    | 5,500.00               |
| Ilen Sirois   | 511 West Village Road Salado, TX 76571-9537  | NONE         | I.                | Heroic Recognition Awards                    | 5,500.00               |
| Rebecka Blackburn   | 6 Middleton Close Lebuc, AB T9E 0E3  | NONE         | I                 | Heroic Recognition Awards                    | 5,500.00               |
| Richard J. Gandolfo   | 25 Badger Road Manorville, NY 11949-3201   | NONE         | I.                | Heroic Recognition Awards                    | 5,500.00               |
| Sommer King   | 68 Dalquist Bay Lebuc, AB T9E 0N5  | NONE         | I.                | Heroic Recognition Awards                    | 5,500.00               |
| J. Ryan Thornton  | 15105 East 117th Drive Commerce City, CO 80603-7218  | NONE         | I.                | Heroic Recognition Awards                    | 5,500.00               |
| Jordan Lambay   | PSC 80 Box 16688 APO, AP 96367 Kadena, Okinawa   | NONE         | I.                | Heroic Recognition Awards                    | 5,500.00               |
| Jathan Wanhala  | 303 Windham Street Santa Cruz, CA 95062-2466   | NONE         | I.                | Heroic Recognition Awards                    | 5,500.00               |
| ane Covington   | 305 Northside Drive Rockingham, NC 28379-8025  | NONE         | I.                | Heroic Recognition Awards                    | 5,500.00               |
| Returned Checks - Unknown Recipient                                 | n/a  | NONE         | I.                | Heroic Recognition Awards                    | (5,000.00)             |
|   |  |              |                   | Total Heroic Recognition Awards              | \$ 389,783.00          |
| Bradley A. Bowman   | 1637 Bowman Trail, Mablevale, AR 72103-4839  | NONE         | I.                | Educational Benefits                         | 5.719.45               |
| Blake Brindley  | 1235 Salem Road, Conway, AR 72034  | NONE         | 1                 | Educational Benefits                         | 8,212.00               |
| Adeline K. Brindley-Baker   | 2 Earl Drive, Conway, AR 72032   | NONE         | 1                 | Educational Benefits                         | 2,194.97               |
| Cody Decker   | 13060 Sherrington Drive DeWitt, MI 48820-8142  | NONE         | 1                 | Educational Benefits                         | 7,000.00               |
| rittny M. Diaz  | 2728 116th Street SE Everett, WA 98208   | NONE         | 1                 | Educational Benefits                         | (164.62)               |
| enna Fanelli  | 1 Bayberry Road Elmsford, NY 10523-1701  | NONE         | 1                 | Educational Benefits                         | 6,189.68               |
| ustin Lee Greenwald   | 23777 Mulholland Highway, Space 1 Calabasas, CA 91302  | NONE         | 1                 | Educational Benefits                         | 15,000.00              |
| latalie Killingbeck   | 1288 N. Milford Road Milford, MI 98381   | NONE         | 1                 | Educational Benefits                         | 18,000.00              |
| Robert Hart Morgan  | 919 James Court, Apt. 3, Fort Collins, CO 80521  | NONE         | 1                 | Educational Benefits                         | 12,000.00              |
| lannah Radke  | 800 Martinburg Road, Mount Vernon, OH 43050  | NONE         | 1                 | Educational Benefits                         | 17,900.00              |
| Aichael Sanchez, Jr.  | 350 Kemper Drive, Newark, DE 19720   | NONE         | 1                 | Educational Benefits                         | 2,500.00               |
| Amber K. Sorah  | 722 Oakland Drive, Princeton, WV 24739   | NONE         | 1                 | Educational Benefits                         | 5,704.00               |
|   | 3951 Miracles Boulevard Detroit, MI 48201-1543   | NONE         | 1                 | Educational Benefits                         | 14,000.00              |
| liva Townsend   |  |              | 1                 | Educational Benefits                         | 12,000.00              |
| ,   | 815 West Alabama Street Florence Al 35630  | NONE         |                   |  |                        |
| arah Trotti   | 815 West Alabama Street Florence, AL 35630   | NONE         | i i               |  |                        |
| liya Townsend<br>Sarah Trotti<br>Summer N. White<br>.auren Winstead | 815 West Alabama Street Florence, AL 35630<br>9078 Prairie Dog Drive, Edmond, OK 73034<br>148 Dudney Mead Cove Collierville, TN 38017-3879 |              |                   | Educational Benefits<br>Educational Benefits | 22,000.00<br>10,500.00 |

Total Educational Benefits

\$ 168,364.98

| Recipient Name                                      | Address  | Relationship | Foundation Status | Purpose of Grant                             |    | Amount     |
|---|--|--------------|-------------------|--|----|------------|
| Medals and Certificates Awarded for Acts of Heroism | C/O 436 Seventh Avenue, Suite 1101 Pittsburgh, PA 15219  | NONE         | I                 | Medals and Certificates                      |    | 16,243.00  |
|   |  |              |                   | Total Medals and Certificates                | \$ | 16,243.00  |
| Carnegie Rescuers Foundation                        | Saegemattstrasse 82/41, CH-3098 Koeniz, Bern SWITZERLAND | NONE         | NC                | Grants to European Carnegie Hero Funds       | \$ | 50,000.00  |
|   |  |              |                   | Total Grants to European Carnegie Hero Funds | \$ | 50,000.00  |
|   |  |              |                   | TOTAL  | \$ | 876,887.98 |

# FORM 990-PF, PART XV, LINE 3B CARNEGIE HERO FUND COMMISSION GRANTS AND CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT JANUARY 1, 2019 - DECEMBER 31, 2019

| Recipient Name             | Address  | Relationship | Foundation Status | Purpose of Grant   | Amo | ount      |
|----------------------------|--|--------------|-------------------|--|-----|-----------|
| Mir Khaled Ahmad           | 130 Scoggins Court, Apt. 511, Vacaville, CA 95688-3202           | NONE         | I                 | Heroic Recognition Awards                                    |     | 5,500.00  |
| Kyle Bowman                | 3334 Churchville Road, Aberdeen, MD 21001-1012                   | NONE         | I                 | Heroic Recognition Awards                                    |     | 5,500.00  |
| Courtney Chiveral          | 1500 Chesapeake Road, Middle River, MD 21220-4423                | NONE         | I                 | Heroic Recognition Awards                                    |     | 5,500.00  |
| Sean R. Dias               | 15 Captain Allen Way, Whitman, MA 02382-1883                     | NONE         | I                 | Heroic Recognition Awards                                    |     | 5,500.00  |
| Steven W. Fitzpatrick      | 16600 25th Avenue Northeast, Unit 128, Marysville, WA 98271-4713 | NONE         | I                 | Heroic Recognition Awards                                    |     | 5,500.00  |
| Dylan M. Goetsch           | 811 Verdale Drive, Spearfish, SD 57783-1636                      | NONE         | I                 | Heroic Recognition Awards                                    |     | 5,500.00  |
| Phillip L. Hall            | 5546 15th Street, Arlington, VA 22205-2746                       | NONE         | I                 | Heroic Recognition Awards                                    |     | 5,500.00  |
| Tyler James Hance          | 1076 County Road 4, Fort Covington, NY 12937-2413                | NONE         | I                 | Heroic Recognition Awards                                    |     | 5,500.00  |
| Maddison Henslin           | 377 Avenida De Amigos, Nipoma, CA 93444-9675                     | NONE         | I                 | Heroic Recognition Awards                                    |     | 5,500.00  |
| Michael Benjamin James     | 55 Walnut Street, Belleville, NJ 07109-1656                      | NONE         | I                 | Heroic Recognition Awards                                    |     | 5,500.00  |
| Maciej Kosiarski           | 306 Whidah Court, Schaumburg, IL 60194-4327                      | NONE         | I                 | Heroic Recognition Awards                                    |     | 5,500.00  |
| Christopher E. Lawless     | 12 High Street, North Sydney, NS B2A 2A4                         | NONE         | I                 | Heroic Recognition Awards                                    |     | 5,500.00  |
| Julius A. Ortenzo          | 11925 N. Cielo Grande, Prescott, AZ 86305-5587                   | NONE         | I                 | Heroic Recognition Awards                                    |     | 5,500.00  |
| Zachary Salce              | 377 Avenida De Amigos, Nipoma, CA 93444-9675                     | NONE         | I                 | Heroic Recognition Awards                                    |     | 5,500.00  |
| Christopher W. Schmoker    | 223 Juniper Drive, Belle Fourche, SD 57717-4406                  | NONE         | I                 | Heroic Recognition Awards                                    |     | 5,500.00  |
| Daniel Simonelli           | 5172 35th Street, San Diego, CA 92116-1912                       | NONE         | I                 | Heroic Recognition Awards                                    |     | 5,500.00  |
| Danny Lee Tiger            | 221 Third Avenue NW, Ardmore, OK 73401-6102                      | NONE         | I                 | Heroic Recognition Awards                                    |     | 5,500.00  |
| Deborah E. Turner          | 4701 East Rice Street, #87, Lubbock, TX 79403-9551               | NONE         | I                 | Heroic Recognition Awards                                    |     | 5,500.00  |
|                            |  |              |                   | 2019 Grants and Contributions<br>Approved for Future Payment | \$  | 99,000.00 |
| Madeline K. Brindley-Baker | 2 Earl Drive, Conway, AR 72032                                   | NONE         | I                 | Educational Benefits   |     | 4,600.00  |
| Paul Edward Crawford       | 955 Idylwood Drive SW, Issaquah, WA 98027                        | NONE         | I                 | Educational Benefits   |     | 8,000.00  |
| Justin Lee Greenwald       | 23777 Mulholland Highway #1 Calabasas, CA 91302                  | NONE         | I                 | Educational Benefits   |     | 8,000.00  |
| Natalie Killingbeck        | 1288 N. Milford Road Milford, MI 98381                           | NONE         | I                 | Educational Benefits   |     | 10,000.00 |
| Sarah Trotti               | 815 West Alabama Street Florence, AL 35630                       | NONE         | I                 | Educational Benefits   |     | 6.000.00  |
| Summer N. White            | 9078 Prairie Dog Drive, Edmond, OK 73034                         | NONE         | I                 | Educational Benefits   |     | 11,000.00 |
| Lauren Winstead            | 148 Dudney Mead Cove Collierville, TN 38017-3879                 | NONE         | I                 | Educational Benefits   |     | 4,000.00  |
|                            |  |              |                   | 2019 Education Benefits Approved for Future Payment          | \$  | 51,600.00 |

TOTAL \$ 150,600.00